I. Spinors

II. The matrices and eigenspinors of  $S_x$  and  $S_y$ 

II. Spinors

This is an example of using the matrix formulation of Quantum Mechanics

"Matrix Mechanics"

with 2-component  $|i\rangle$  and  $|f\rangle$  states

• Recall that a particle can have 2 kinds of angular momentum -spin angular momentum and orbital angular momentum

• Recall that spin (a kind of angular momentum) can have components, in particular a z-component

• Recall that z-component of spin can have only 2 values:

$$|S_z = up\rangle \text{ and } |S_z = down\rangle$$
  
also called  $|+\rangle$  and  $|-\rangle$  (no  $|0\rangle$ )

• Recall that a particle's m quantum number concerns the z-component of its angular momentum

orbital angular momentum and spin angular momentum angular I. Spinors (continued)

II. The matrices and eigenspinors of  $S_x$  and  $S_y$ 

Also  $m_{J max} = +j$  and  $m_{J min} = -j$ And  $(m_{J max} - m_{J min}) =$  integer The way to satisfy all of this for a 2-state system is for  $j = \frac{1}{2}$  $m_{J max} = +\frac{1}{2}$  $m_{J min} = -\frac{1}{2}$ 

No othe  $m_J$  values allowed.

So if 
$$\ell=0$$
, so j = spin only then we have  $m_{spin \max} = +\frac{1}{2}$   
 $m_{spin \min} = -\frac{1}{2}$ 

Make a matrix to reflect  $J_z$  when j = spin only:Call it "S<sub>z</sub>"

$$\left\langle \Psi_{f} \left| S_{z} \right| \Psi_{i} \right\rangle = \left\langle \Psi_{f} \left| m_{spin} \hbar \right| \Psi_{i} \right\rangle = m_{spin} \hbar \left\langle \Psi_{f} \right| \Psi_{i} \right\rangle = m_{spin} \hbar \delta_{if}$$

 $\delta_{_{i\!f}}$ 

$$S_{z} = \begin{cases} m_{\text{spin final}} & |+\rangle & |-\rangle \\ \langle +| & \langle +| \\ \langle -| & \langle -\frac{1}{2}\hbar & 0 \\ 0 & -\frac{1}{2}\hbar \\ \end{pmatrix}$$

Recap what we know:  

$$|+\rangle \text{ and } |-\rangle \text{ exist}$$
They are eigenfunctions of the spin measurement, S<sub>z</sub>.  
Their eigenvalues are  $+\frac{1}{2}\hbar$  and  $-\frac{1}{2}\hbar$   
We can summarize this information as:  
 $S_z |+\rangle = \frac{\hbar}{2} |+\rangle$  and  $S_z |-\rangle = -\frac{\hbar}{2} |-\rangle$   
Matrix notation  
stuff  
The matrix representation for S<sub>z</sub> is  $\begin{pmatrix} +\frac{\hbar}{2} & 0\\ 0 & -\frac{\hbar}{2} \end{pmatrix}$   
What are the matrix representations for  $|+\rangle$  and  $|-\rangle$ ?  
To answer this we need to solve  
 $\begin{pmatrix} +\frac{\hbar}{2} & 0\\ 0 & -\frac{\hbar}{2} \end{pmatrix} \begin{pmatrix} u_z^z\\ v_z^z \end{pmatrix} = +\frac{\hbar}{2} \begin{pmatrix} u_z^z\\ v_z^z \end{pmatrix}$   
 $S_z$   
 $I = -\frac{\hbar}{2} \begin{pmatrix} u_z^z\\ v_z^z \end{pmatrix}$   
 $\int_{S_z} I = -\frac{\hbar}{2} \begin{pmatrix} u_z^z\\ v_z^z \end{pmatrix}$ 

The solutions are



\*Any 2 component vector is called a spinor

\*These two-component column vectors which are the eigenfunctions of  $S_z$  in the  $|m_s\rangle$  basis are called the eigenfunctions of  $S_z$  $s_z$  basis

We could also write for example a matrix  $S_x$  to describe the measurement of the x-component of spin That matrix would have different eigenfunctions  $\chi_+^z$  and  $\chi_+^z$ , the "eigenspinors of  $S_x$ "

Any pair of spinors:

- (1) are orthogonal:  $\langle \chi_+ | \chi_+ \rangle = 0$
- (2) are normalized:  $\langle \chi_+ | \chi_+ \rangle = \langle \chi_- | \chi_- \rangle = 1$

(3) form a basis in "spin space": any state of arbitrary spin  $\chi$  can be represented by a linear combination of them



II. The matrices and eigenspinors of  $S_x$  and  $S_y$ 

Recall in P 491 we showed that

 $[L_x, L_y] = i\hbar L_z$  (and cyclic  $x \to y \to z$ )

and we postulated that

 $[J_x, J_y] = i\hbar J_z$  (and cyclic  $x \to y \to z$ )

Now postulate that  $S_x$ ,  $S_y$ ,  $S_z$  are related in the same way:

$$[S_x, S_y] = i\hbar S_z$$
 (and cyclic  $x \to y \to z$ )

Also recall from P 491 the definition of the general angular momentum raising and lowering operators:

$$J_{+} \equiv J_{x} + iJ_{y}$$
$$J_{-} \equiv J_{x} - iJ_{y}$$

Since these are general, they raise or lower both

orbital angular momentum and spin angular momentum

When L=0, they act only on S, so we could call them in that case:

 $S_{+} \equiv S_{x} + iS_{y}$  $S_{-} \equiv S_{x} - iS_{y}$ 

To make the matrix for  $S_x$  (in the  $m_s$  or the  $S_z$  basis) we need the matrices for  $S_+$  and  $S_-$  in the  $m_s$  basis: Recall:

$$J_{+}|j,m_{j}\rangle = \hbar\sqrt{j(j+1) - m_{j}(m_{j}+1)}|j,m_{j}+1\rangle \qquad J_{-}|j,m_{j}\rangle = \hbar\sqrt{j(j+1) - m_{j}(m_{j}-1)}|j,m_{j}-1\rangle$$

In general 
$$j=\ell+s$$
 and  $m_{j}=m_{\ell}+m_{s}$   
Suppose  $\ell=m_{\ell}=0$   
Then  $j=s$  and  $m_{j}=m_{s}$   
Then  
 $S_{+}|s,m_{s}\rangle = \hbar\sqrt{s(s+1)-m_{s}(m_{s}+1)}|s,m_{s}+1\rangle$ 
But  $s=\frac{1}{2}$  only  
 $=\hbar\sqrt{\frac{1}{2}(\frac{3}{2})-m_{s}(m_{s}+1)}|s,m_{s}+1\rangle$ 
But  $s=\frac{1}{2}$  only  
 $=\hbar\sqrt{\frac{1}{2}(\frac{3}{2})-m_{s}(m_{s}+1)}|s,m_{s}+1\rangle$ 
So  
 $\langle s,m_{s}'|S_{+}|s,m_{s}\rangle = \hbar\sqrt{\frac{3}{4}-m_{s}(m_{s}+1)}\delta_{m_{s}',m_{s}+1}$ 
 $\langle s,m_{s}'|S_{-}|s,m_{s}\rangle = \hbar\sqrt{\frac{3}{4}-m_{s}(m_{s}-1)}\delta_{m_{s}',m_{s}-1}$ 

Make the matrices:

$$\begin{split} \mathbf{m}_{s}: & \pm \frac{1}{2} & -\frac{1}{2} \\ S_{+} &= & \mathbf{m}_{s}': & \pm \frac{1}{2} & 0 & \hbar\sqrt{\frac{3}{4} - (-\frac{1}{2})(-\frac{1}{2} + 1)} \\ 0 & 0 & 0 \\ \end{split} \\ S_{-} &= & \mathbf{m}_{s}': & \pm \frac{1}{2} & 0 & 0 \\ & -\frac{1}{2} & \hbar\sqrt{\frac{3}{4} - (\frac{1}{2})(\frac{1}{2} - 1)} & 0 \\ \end{pmatrix} \\ &= & \begin{pmatrix} 0 & \hbar \\ 0 & 0 \\ \end{pmatrix} \\ &= & \begin{pmatrix} 0 & 0 \\ \hbar & 0 \\ \end{pmatrix} \\ \end{split}$$

Combine these to get

$$\begin{split} \mathbf{S}_{x} &= \frac{S_{+} + S_{-}}{2} = \frac{1}{2} \begin{bmatrix} \begin{pmatrix} 0 & \hbar \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ \hbar & 0 \end{pmatrix} \end{bmatrix} = \frac{1}{2} \begin{pmatrix} 0 & \hbar \\ \hbar & 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ \mathbf{S}_{y} &= \frac{S_{+} - S_{-}}{2i} = \frac{1}{2i} \begin{bmatrix} \begin{pmatrix} 0 & \hbar \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ \hbar & 0 \end{pmatrix} \end{bmatrix} = \frac{-i}{2} \begin{pmatrix} 0 & \hbar \\ -\hbar & 0 \end{pmatrix} = \frac{\hbar}{2i} \begin{pmatrix} 0 & -i \\ +i & 0 \end{pmatrix} \end{split}$$

Now find their eigenspinors

eigenvectors in the  $m_s$  basis

To find 
$$\chi_{\pm}^{x}$$
 and their eigenvalues  $\lambda$ , solve  
 $S_{\pm}\chi_{\pm}^{x} = \lambda_{\pm}\chi_{\pm}^{x}$   
 $\begin{pmatrix} 0 & \frac{\hbar}{2} \\ \frac{\hbar}{2} & 0 \end{pmatrix} \begin{pmatrix} u^{x} \\ v^{x} \end{pmatrix} = \lambda \begin{pmatrix} u^{x} \\ v^{x} \end{pmatrix}$   
 $\begin{pmatrix} -\lambda & \frac{\hbar}{2} \\ \frac{\hbar}{2} & -\lambda \end{pmatrix} \begin{pmatrix} u^{x} \\ v^{x} \end{pmatrix} = 0$   
 $\begin{vmatrix} -\lambda & \frac{\hbar}{2} \\ \frac{\hbar}{2} & -\lambda \end{vmatrix} = 0$   
 $\lambda^{2} - \frac{\hbar^{2}}{4} = 0$   
 $\lambda = \pm \frac{\hbar}{2}$ 

To get the eigenvectors (spinors) plug these  $\lambda$  back in:

$$\begin{pmatrix} -\lambda & \frac{\hbar}{2} \\ \frac{\hbar}{2} & -\lambda \end{pmatrix} \begin{pmatrix} u^{x} \\ v^{x} \end{pmatrix} = 0$$

Plug in  $\lambda = +\frac{\hbar}{2}$  for  $(u_+^x, v_+^x)$ 

$$-\frac{\hbar}{2}u_{+}^{x} + \frac{\hbar}{2}v_{+}^{x} = 0$$
$$u_{+}^{x} = v_{+}^{x}$$

## Normalized

$ \begin{pmatrix} u_{+}^{x} \\ v_{+}^{x} \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} = \chi_{+}^{x} $ this is the spinor that goes with eigenvalues +
--

Similarly,

summily,	
$\chi_{-}^{x} = \begin{pmatrix} -\frac{1}{\sqrt{2}} \\ +\frac{1}{\sqrt{2}} \end{pmatrix}$	this is the spinor that goes with $-\frac{\hbar}{2}$

We can similarly find eigenfunctions (spinors) of  $S_y$ :



Example:

Suppose an SG filter produces an  $e^-$  in state  $S_z = down$ .

Then the  $e^-$  enters another SG filter designed to select  $S_y = up$  or down.

What is the probability that the  $e^-$  will be found to have  $S_y = up$ ?

Answer:

$$\left\langle \alpha \left| i \right\rangle = \chi_{-}^{z} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$$
$$\left\langle \alpha \left| f \right\rangle = \chi_{+}^{y} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} \end{pmatrix}$$
$$P = \left| \left\langle f \left| \alpha \right\rangle \left\langle \alpha \left| i \right\rangle \right|^{2} = \left| \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right|^{2} = \frac{1}{2}$$
complex conjugate

Read Chapter 16

I. The Pauli Matrices II. The Transformation Matrix III. The Pauli Matrices

Recall:



These matrices (without  $\frac{\hbar}{2}$ 's) are called the Pauli matrices  $\sigma_i$ 

Facts about the Pauli matrices

1) The set of  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$  and  $I \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  form a *basis* for the space of 2x2 matrices

i.e. any 2x2 matrix can be expressed as a linear combination of  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$  and I 2)  $\sigma_i^2 = 1$  i=1, 2, 3

3) 
$$\sigma_x \sigma_y = i\sigma_z$$
 and cyclic  $x \to y \to z \to x$ 

4)  $\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ii}$  since there is a + instead of a -, we say they "anti-commute"

$$\left\{\sigma_{i},\sigma_{j}\right\}$$
  
5)  $\left[\sigma_{x},\sigma_{y}\right]=2i\sigma_{z}$  and cyclic  $x \rightarrow y \rightarrow z \rightarrow x$ 

6) They are Hermitian. This makes sense because

$$\sigma_i^{\dagger} = \sigma_i$$

 $\sigma_i = (a \text{ real number})^* S_i$ 

S, measures spin, a physical observable

Operators reflecting physical observables are always Hermitian.

So we have 3 separate matrices,

$$\sigma_{x} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$
$$\sigma_{y} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$
$$\sigma_{z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Forget for a moment that those  $\sigma_i$  are matrices.

Focus on the symbols. They look like the components of a 3-D vector:

$$"\vec{\sigma}" = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \sigma_z \end{pmatrix}$$

We will use this vector. So for example if we have some other vector  $\vec{v}$ , then  $\vec{v} \cdot \vec{\sigma} =$ 

$$\begin{aligned} v_{x}\sigma_{x} + v_{y}\sigma_{y} + v_{z}\sigma_{z} \\ &= v_{x} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + v_{y} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + v_{z} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ &= \begin{pmatrix} 0 & v_{x} \\ v_{x} & 0 \end{pmatrix} + \begin{pmatrix} 0 & -iv_{y} \\ iv_{y} & 0 \end{pmatrix} + v_{z} \begin{pmatrix} v_{z} & 0 \\ 0 & -v_{z} \end{pmatrix} \\ &= \begin{pmatrix} v_{z} & v_{x} - iv_{y} \\ v_{x} + iv_{y} & -v_{z} \end{pmatrix} \end{aligned}$$

I. The Transformation Matrix

Plan:

(i) Present plan of what calculation we need to learn how to do

(ii) Show why one might want to do it

(iii) Show how to do it

(iv) Examples

Carry out plan:

(i) Suppose a particle is prepared in a particular basis state, example it is prepared to have  $S_z = up$ . But we actually want to know its  $S_y$  state

This matrix is called the Transformation Matrix from the  $S_z$  basis to the  $S_y$  basis. Call it  $U_{S_z \to S_y}$  It's matrix elements look like

$$\langle S_y = f | S_z = i \rangle$$
 where for example i = +, 0, - and f = +, 0, -

So if you know all of the elements of the matrix between 2 bases, then if you know a particle's state in one basis, you can translate it into the other basis.



So when we say we find the elements of a Transformation Matrix we are really finding the possible wavefunctions of a particle which is entirely specified by 2 of its properties

(iii) How to construct  $U_{s_z \to s_y}$ Recall what the  $S_z$  basis is: It is the set of  $m_s$  states which can be arranged to make the matrix representation of  $S_z$  diagonal ni.e. quantum # m +s  $\leq m \leq -s$ like  $+\ell < m_{\ell} < -\ell$ 

Recall that in the  $S_{z}$  basis,



while the eigenspinors of operator  $S_y$  represented in the  $S_z$  basis are

$$\chi^{y}_{+} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} \end{pmatrix}$$
 and  $\chi^{y}_{-} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -\frac{i}{\sqrt{2}} \end{pmatrix}$ 

Recall that in the  $S_z$  basis, the  $S_y$  operator looks like

$$S_{y} = \begin{pmatrix} 0 & -\frac{i\hbar}{\sqrt{2}} \\ \frac{i\hbar}{\sqrt{2}} & 0 \end{pmatrix} \implies \text{it is not diagonal}$$

There must be some basis in which  $S_y$  is diagonal (i.e., we could diagonalize  $S_y$ )

Before we do that let's call that basis in which  $S_y$  would be diagonalized, "the  $S_y$  basis"

In the  $S_y$  basis,  $S_y$  would look like

$$\begin{vmatrix} \mathbf{S}_{y} = + \rangle & |\mathbf{S}_{y} = - \rangle \\ \mathbf{S}_{y} = + \rangle & \begin{pmatrix} \frac{\hbar}{2} & \mathbf{0} \\ \mathbf{0} & \frac{\hbar}{2} \end{pmatrix} \end{vmatrix}$$

And in the  $S_y$  basis, the eigenspinors of  $S_y$  would look like

 $\chi_{+}^{y} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{and} \quad \chi_{-}^{y} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  $\bigwedge^{y} \text{ in } S_{y} \text{ basis} \quad \text{ in } S_{y} \text{ basis}$ 

Name of eigen spinorRepresentation in  $S_y$  basis=U ·Representation in  $S_z$  basis $\chi^y_+$  $\begin{pmatrix} 1\\0 \end{pmatrix}$ =U · $\begin{pmatrix} \frac{1}{\sqrt{2}}\\ \frac{i}{\sqrt{2}} \end{pmatrix}$ AND

$$\chi_{-}^{y}$$
  $\begin{pmatrix} 0\\1 \end{pmatrix}$   $=$   $U \cdot \begin{pmatrix} \frac{1}{\sqrt{2}}\\-\frac{i}{\sqrt{2}} \end{pmatrix}$ 

The U that satisfies both is

$$\mathbf{U} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{pmatrix}$$

The recipe to make a U that translates from some arbitrary basis to an operator's "home" basis is this:

here S

here S

1) Because the operator is diagonal in its home basis (that is the definition of a home basis), its eigenvectors in that basis will always look like

$$\begin{pmatrix} 1\\0\\\vdots\\0 \end{pmatrix} \begin{pmatrix} 0\\1\\0\\\vdots \end{pmatrix} \dots \begin{pmatrix} 0\\0\\\vdots\\1 \end{pmatrix} \text{ etc.}$$

2) Find its eigenvectors in the basis that you want to translate *out* of Suppose they are



3) Take the Hermitian conjugate of each  
HC=transpose complex conjugate  
$$(a_1^* a_2^* \dots a_n^*) (b_1^* b_2^* \dots b_n^*) (c_1^* c_2^* \dots c_n^*)$$

4) arrange the HC eigenvectors in rows in order of decreasing eigenvalues

 $U = \begin{pmatrix} c_1^* & c_2^* & \dots & c_n^* \\ b_1^* & b_2^* & \dots & b_n^* \\ a_1^* & a_2^* & \dots & a_n^* \end{pmatrix}$ 

So to summarize:

$$U_{S_{z} \to S_{y}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{pmatrix}$$
  
we could have done a similar calculation for  
$$U_{S_{z} \to S_{x}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$
  
save these for later

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- I. The Transformation Matrix is unitary
- II. Why we diagonalize matrices in QM
- III. Writing the Hamiltonian operator as a matrix

## II. The Transformation Matrix U is unitary

U has the important feature that 
$$U^{-1} = U^{\dagger}$$

To see this:

Recall that the inverse  $M^{-1}$  of any matrix M must have the property that  $M^{-1}M=1$ .

For any matrix M,

$$M^{-1} = \frac{1}{\det M} \tilde{C}$$
 transpose of the matrix of cofactors of M

Example: Suppose

$$\mathbf{M} = \left(\begin{array}{cc} a & b \\ c & d \end{array}\right)$$

Then detM=ad-bc

 $C_{ij} = (-1)^{i+j} \cdot det(submatrix obtained by deleting row i and column j from M)$ 

$$C = \begin{pmatrix} d & (-1)c \\ (-1)b & a \end{pmatrix}$$
$$\tilde{C} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

So M<sup>-1</sup> = 
$$\frac{1}{(ad-bc)} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

Also recall  $M^{\dagger} = \begin{pmatrix} a^* & c^* \\ b^* & d^* \end{pmatrix}$ 

Plug in the specific transformation matrix  $U_{S_z \to S_y}$  for M:

$$\begin{aligned} \mathbf{U}_{S_{z} \to S_{y}} &= \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{pmatrix} \\ U^{-1} &= \frac{1}{\left(\frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} - \left(\frac{-i}{\sqrt{2}}\right)\left(\frac{1}{\sqrt{2}}\right)\right)} \begin{pmatrix} \frac{i}{\sqrt{2}} & \frac{i}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \\ &= -\mathbf{i} \begin{pmatrix} \frac{i}{\sqrt{2}} & \frac{i}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \end{pmatrix} \end{aligned}$$

Also

$$\mathbf{U}^{\dagger} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{pmatrix}^{*} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \end{pmatrix}$$

So  $U^{-1} = U^{\dagger}$ 

What is the *physical* importance of being unitary?

1) Recall that applying U to each element of a basis  $|\alpha\rangle$  projects it onto another basis  $|\beta\rangle$ For any element of basis  $|\beta\rangle$ :

 $|\beta\rangle = 1 \cdot |\beta\rangle$ Insert  $1 = \sum_{\alpha} |\alpha\rangle \langle \alpha|$  $= \sum_{\alpha} |\alpha\rangle \langle \alpha|\beta\rangle$  $\langle \beta|\alpha\rangle^* = U^*_{\beta\alpha}$ 

$$\left|\beta\right\rangle = \sum_{\alpha} \left|\alpha\right\rangle U_{\beta\alpha}^{*}$$

Take the complex conjugate of this equation:

$$\left\langle \beta \right| = \sum_{\alpha} U^*_{\beta\alpha} \left\langle \alpha \right|$$

 $\Psi' = U\Psi$ 

Now consider some arbitrary state  $|\Psi\rangle$ Project it onto the new basis  $\langle \beta |$ It will have components in that basis given by  $\Psi'_{\beta} = \langle \beta | \Psi \rangle$   $= \sum_{\alpha} \langle \beta | \alpha \rangle \langle \alpha | \Psi \rangle$  these are the components of  $\Psi$  in the "old" basis  $\alpha \to \Psi_{\alpha}$   $= \sum_{\alpha} U_{\beta\alpha} \Psi_{\alpha}$ These subscripts concern individual components of the state. Generalize to the full state

Recall that changing basis is like changing coordinate systems. The length of a vector  $\Psi$  should not depend on which coordinate system is is measured in.



These can only be equal if  $U^{\dagger}U = 1$ But  $U^{-1}U = 1$  by definition of  $U^{-1}$ So these can only be equal if  $U^{\dagger} = U^{-1}$ 



under change of basis.

This is important because probabilities are what is actually measured, and they cannot depend on choice of basis.

III. Why do we diagonalize matrices in QM Suppose we are working in some basis (Ex: the S<sub>z</sub> basis) We have an operator that is not diagonalized in this basis (Ex: the S<sub>y</sub> basis) We find  $U_{S_z \to S_y}$  that relates the form of the eigeinvectors of the operator Example:  $\chi^y_+$  and  $\chi^y_-$ 

in the arbitrary basis to these in the home basis



Thus far we have considered only the effect of U on the  $\chi$ 's. Now consider the effect of U on the operator itself:

$$\mathbf{US}_{y}\mathbf{U}^{-1} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \mathbf{0} & \frac{-i\hbar}{2} \\ \frac{-i\hbar}{2} & \mathbf{0} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} & \frac{-i}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \frac{\hbar}{2} & \mathbf{0} \\ \mathbf{0} & \frac{-\hbar}{2} \end{pmatrix}$$

\*Applying U,  $U^{-1}$  to the non-diagonal operator diagonalizes it.

## Who cares?

The goal of many QM calculations is to answer "What are the possible results (=eigenvalues) I could get if Imake a particular measurement?"

(i) When an operator is in non-diagonalized form, every vector that it operates on gets changed into a different vector. So you learn from this what changes this operator (Ex  $L_+$ ) can cause in nature.

(ii) If you want to find what are the stationary states of an operator, the states of definite energy, the states that can appear as possible results of measurements, then you need the eigenvectors and eigenvalues of the operator.

(iii) A diagonal form of the operator is the only form that leads to an eigenvalue equation. The U matrix converts an aperator into a diagonal form.

(iv) As a bonus, the U gives the amplitude for observation of every possible physical state which can be found by that measurement (Ex:  $S_{y}$ ) given the initial prepared state.

 $\lim_{z \to 0} S_z$ 

I. Writing the Hamiltonian operator as a matrix Recall the time-dependent Schrodinger Equation:



Reunite:

$$i\hbar \frac{d}{dt}c_{j} = \sum_{i} H_{ji}c_{i}$$
This equation is true for a  $|\Psi\rangle$  with any number of components  
i.e any number of possible states what a measurement could find it to be in.  
Consider a  $|\Psi\rangle$  which can only ever be in 2 states  
then i:(1,2)  
j:(1,2)

So this represents 2 equations

When j= 1 the equation reads:  $i\hbar \frac{d}{dt}c_1 = H_{11}c_1 + \frac{d}{dt}c_2$ 

2

$$i\hbar \frac{d}{dt}c_{1} = H_{11}c_{1} + H_{12}c_{2}$$
$$i\hbar \frac{d}{dt}c_{2} = H_{21}c_{1} + H_{22}c_{2}$$

an alternative form of this is:

$$i\hbar \frac{d}{dt} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$

- I. The meaning of diagonal and non-diagonal matrices
- II. The ammonia molecule

Read handout on NMR

II. The meaning of diagonal and non-diagonal matrices

Consider a potential in which 2 states are theoretically possible



 $\Psi$  could be here or here but because the barrier is  $\infty$ ,  $\Psi$  can never tunnel from one state to the other.

Recall we showed in Section 6.4 that when the Hamiltonian acts on a state, it evolves the state forward in time.

If the particle can never tunnel from  $|1\rangle \rightarrow |2\rangle$  or  $|2\rangle \rightarrow |1\rangle$ Then H $|1\rangle$  = [possibly some constant] $|1\rangle$ 

and H $|2\rangle = []|2\rangle$ but H $|1\rangle = 0 \cdot |2\rangle$ 

and 
$$H|2\rangle = 0 \cdot |1\rangle$$

Another way to express this is

initial states  $\rightarrow$   $|1\rangle$   $|2\rangle$ final states  $\downarrow$ 

$$H= \begin{array}{c} \left|1\right\rangle \\ \left|2\right\rangle \end{array} \begin{pmatrix} H_{11} & 0 \\ 0 & H_{22} \end{pmatrix}$$

Now recall from Chapter 3 that  $H\Psi = E\Psi$ , so the [possible some constant] = E<sub>0</sub>

Since the  $|1\rangle$  and  $|2\rangle$  are both in regions where V=0, expect both to have same energy, so

 $H = \left(\begin{array}{cc} E_0 & 0\\ 0 & E_0 \end{array}\right)$ 

Now suppose that the barrier is not infinitely high, so there is some probability that as time passes that a state in  $|1\rangle \rightarrow |2\rangle$  or a state in  $|2\rangle \rightarrow |1\rangle$ Suppose that after a certain length of time, the probability that  $|1\rangle \rightarrow |2\rangle$  is A<sup>2</sup> Let the amplitude =  $\sqrt{A^2} = -A$ Similarly for  $|2\rangle \rightarrow |1\rangle$ Now

$$H = \left(\begin{array}{cc} E_0 & -A \\ -A & E_0 \end{array}\right)$$

II. The Ammonia molecule

An example of a physical case where 2 states are related by 
$$\begin{pmatrix} E_0 & -A \\ -A & E_0 \end{pmatrix}$$
 is the 2 physical states

of the  $NH_3$  molecule.

The 3 H's make a triangle which has repulsive potential



If the N is above, it sees a coulombic barrier that prevents it from moving below.

If it is below, it is barricaded from moving up.

So there are 2 states



But the Coulomb potential is not  $\infty$  so there is some amplitude for the N to tunnel from above to below.

Compare:

$$\mathbf{H} = \left( \begin{array}{cc} E_1 & \mathbf{0} \\ \mathbf{0} & E_2 \end{array} \right)$$

Time-dependent Schrodinger Equation:

$$i\hbar \frac{d}{dt} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$
$$i\hbar \frac{d}{dt} c_1 = E_1 c_1 \text{ and } i\hbar \frac{d}{dt} c_2 = E_1 c_2$$
$$c_1 = A e^{-iE_1 t_h} \qquad c_2 = B e^{-iE_2 t_h}$$

Notice about this:

We can write  $|\Psi\rangle$  as an expansion (or linear combination) in the basis:  $|\Psi\rangle = |1\rangle\langle 1|\Psi\rangle + |2\rangle\langle 2|\Psi\rangle$  $= |1\rangle c_1 + |2\rangle c_2$  $= Ae^{-iE_t t_h} |1\rangle + Be^{-iE_2 t_h} |2\rangle$ 

So  $|1\rangle$  is a stationary state with energy  $E_1$  and  $|2\rangle$  energy  $E_2$ 

and  $c_1$  and  $c_2$  are the amplitude for finding  $|\Psi\rangle$  in "stationary state" means a measurement of the energy and state of this system will always find one or the other, but no combination or other option. effect of a non-diagonal H:

$$\mathbf{H} = \left( \begin{array}{cc} E_0 & -A \\ -A & E_0 \end{array} \right)$$

To find the stationary states we have to diagonalize this

$$\begin{vmatrix} E_0 - \lambda & -A \\ -A & E_0 - \lambda \end{vmatrix} = 0$$

$$\downarrow$$

$$\lambda_1 = E_0 + A \equiv "E_1"$$

$$\lambda_{\Pi} = E_0 - A \equiv "E_{\Pi}"$$


So we need to find U

To find U,

$$\text{Recall H=} \left( \begin{array}{cc} E_0 & -A \\ -A & E_0 \end{array} \right)$$

\*Every 2x2 matrix can be written as a linear combination of the Pauli matrices and the identity matrix:

$$= \mathbf{E}_0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - A \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = E_0 \mathbf{1} - A \boldsymbol{\sigma}_x$$

So to diagonalize H we just need to diagonalize  $\sigma_x$ 

But 
$$\sigma_x = \frac{2}{\hbar}S_x$$

So to diagonalize H we just need to diagonalize  $S_{x}$ 

The U that diagonalizes  $S_x$  is  $U_{S_z \to S_x}$  (see notes from last lecture)

$$\begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \leftarrow \text{eigenvector corresponds to } S_x \text{ eigenvalue} = +\frac{\hbar}{2} \text{ (or } \sigma_x \text{ eigenvalue} +1) \\ \leftarrow \text{eigenvector corresponds to } S_x \text{ eigenvalue} = -\frac{\hbar}{2} \text{ (or } \sigma_x \text{ eigenvalue} -1) \end{cases}$$

(Remember to arrange eigenvectors in order of decreasing eigenvalue)

Now the eigenvalues of H are  $\begin{cases} E_0 + A \\ E_0 - A \end{cases}$ Now the eigenvalues of 1 are  $\begin{cases} 1 \\ 1 \end{cases}$ Now the eigenvalues of  $\sigma_x$  are  $\begin{cases} +1 \\ -1 \end{cases}$ 

So to create the U that diagonalizes H, order the eigenvectors from

Row 1 high eigenvalue 
$$E_0 + A = E_0(1) + A(-1)$$
 eigenvector of  $\sigma_x$  corresponds to -1

Row 2 low eigenvalue  $E_0 - A = E_0(1) - A(-1)$  eigenvector of  $\sigma_x$  corresponds to +1

- I. The ammonia molecule (continued)
- II. Ammonia oscillation frequency

Reach Chapter 18 Feynman pages 8-10 to 9-5 So

$$U = \begin{array}{c} \left| \mathbf{I} \right\rangle \ \left| \mathbf{2} \right\rangle \\ \left| \mathbf{II} \right\rangle \ \left( \begin{array}{c} \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{array} \right) \end{array}$$

Now we have

- $\langle \mathbf{I} | \mathbf{1} \rangle = \frac{-1}{\sqrt{2}} = \langle \mathbf{I} | \mathbf{1} \rangle^{*}$  $\langle \mathbf{I} | \mathbf{2} \rangle = \frac{+1}{\sqrt{2}} = \langle \mathbf{I} | \mathbf{2} \rangle^{*}$
- So  $|I\rangle = |1\rangle\langle 1|I\rangle + |2\rangle\langle 2|I\rangle$ = $|1\rangle\langle 1|I\rangle^* + |2\rangle\langle 2|I\rangle^*$ = $|1\rangle(\frac{-1}{\sqrt{2}}) + |2\rangle(\frac{+1}{\sqrt{2}})$

$$\left| \mathbf{I} \right\rangle = \frac{1}{\sqrt{2}} \left( -\left| \mathbf{1} \right\rangle + \left| \mathbf{2} \right\rangle \right)$$

We could do a similar calculation to find that

 $\left| \mathrm{II} \right\rangle = \frac{1}{\sqrt{2}} \left( \left| 1 \right\rangle + \left| 2 \right\rangle \right)$ 

Interim conclusion #1: If H is expanded in the basis  $|1\rangle$ ,  $|2\rangle$  it *is not* diagonal. But if it is expanded in the basis  $|I\rangle$ ,  $|II\rangle$  it *is* diagonal. So we can write:

$$|I\rangle |II\rangle$$

$$H = \frac{|I\rangle}{|II\rangle} \begin{pmatrix} E_0 + A & 0 \\ 0 & E_0 - A \end{pmatrix}$$

Now we have the basis in which H is diagonal.

Now solve the Schrodinger Equation in this basis.

Recall in general the Schrodinger Equation can be written as

$$i\hbar \frac{d}{dt}c_j = \sum_i H_{ji}c_i$$

For 2-D, this is:

$$\begin{cases} i\hbar \frac{d}{dt}c_1 = H_{11}c_1 + H_{12}c_2^0 \\ i\hbar \frac{d}{dt}c_2 = H_{21}c_1^0 + H_{22}c_2 \\ In the |I\rangle, |II\rangle \text{ basis, } H_{12} = H_{21} = 0 \text{ and} \\ H_{11} = E_0 + A \\ H_{22} = E_0 - A \end{cases}$$

Since we are in the  $|I\rangle$ ,  $|II\rangle$  basis, call

$$c_{1} \rightarrow c_{I} \equiv \langle \mathbf{I} | \Psi \rangle$$
$$c_{2} \rightarrow c_{II} \equiv \langle \mathbf{II} | \Psi \rangle$$

Then we have

$$i\hbar \frac{d}{dt}c_{I} = (E_{0} + A)c_{I} \quad \rightarrow c_{I} = C \exp\left[\frac{-i(E_{0} + A)t}{\hbar}\right] |I\rangle$$
$$i\hbar \frac{d}{dt}c_{II} = (E_{0} - A)c_{II} \quad \rightarrow c_{II} = D \exp\left[\frac{-i(E_{0} - A)t}{\hbar}\right] |I\rangle$$

 $|\Psi
angle$  can be expanded in any basis that spars its space:  $\left|\Psi\right\rangle = \sum \left|i\right\rangle \left\langle i\right|\Psi\right\rangle$ 

In particular  

$$= |I\rangle\langle I|\Psi\rangle + |II\rangle\langle II|\Psi\rangle$$

$$= C \exp\left[\frac{-i(E_0 + A)t}{\hbar}\right]|I\rangle + D \exp\left[\frac{-i(E_0 - A)t}{\hbar}\right]|II\rangle$$

$$|\Psi_I\rangle = V \exp\left[\frac{-i(E_0 - A)t}{\mu}\right]$$

So the stationary states of this system are

 $|\Psi_I\rangle$  which has  $E=E_0 + A$  + these are the only 2 possible results of a measurement on this system.  $|\Psi_{II}\rangle$  which has  $E=E_0 - A$  Vou would never measure just  $E_0$ , or some linear combination of  $|\Psi_{II}\rangle + |\Psi_{II}\rangle$  I. Ammonia oscillation frequency II. MRI

Read Chapter 17

## I. Ammonia Oscillation Frequency

Recall that physically the ammonia molecule can be in either of 2 states, and can tunnel through a barrier to get from one to the other. Because it can switch between them, those are NOT the stationary states. However, they are real physical states.

Calculate the Frequency with which the molecule changes state from  $|1\rangle$  to  $|2\rangle$ :

frequency at which the N tunnels back and forth This will help clarify the meaning of different basis we have used Recall the two basis we have worked in for this problem:

Basis 
$$|1\rangle$$
,  $|2\rangle$ 

correspondes to physical states





In this basis,

$$\mathbf{H} = \left( \begin{array}{cc} E_0 & -A \\ -A & E_0 \end{array} \right)$$

Basis  $|I\rangle$ ,  $|II\rangle$ 

correspondes to stationary states with unchanging energies

 $|I\rangle$ : state with  $E=E_0 + A$  $|II\rangle$ : state with  $E=E_0 - A$ 

In this basis,

$$\mathbf{H} = \left( \begin{array}{cc} E_0 + A & 0 \\ 0 & E_0 - A \end{array} \right)$$

Define time-dependent Schrodinger Equations:

$$c_{1} = \langle 1 | \Psi \rangle \text{ and } c_{2} = \langle 2 | \Psi \rangle$$

$$i\hbar \frac{d}{dt} \begin{pmatrix} c_{1} \\ c_{2} \end{pmatrix} = \begin{pmatrix} E_{0} & -A \\ -A & E_{0} \end{pmatrix} \begin{pmatrix} c_{1} \\ c_{2} \end{pmatrix}$$

$$\begin{cases} i\hbar \frac{d}{dt} c_{1} = E_{0}c_{1} - Ac_{2} \\ i\hbar \frac{d}{dt} c_{2} = -Ac_{1} - E_{0}c_{2} \end{cases}$$
coupled eq.  $\rightarrow$  transitions "Eq 1"  
"Eq 2"

We never solved for  $c_1$ ,  $c_2$ 

The basis in which H is nondiagonal gives information about transitions between states

Because we want to study transitions (i.e. tunnelling frequency) we will work in this basis. So we need to find  $c_1$ ,  $c_2$ To find  $c_1$ ,  $c_2$  first (i) Add Eq 1 and Eq 2  $i\hbar \frac{d}{dt}(c_1 + c_2) = (E_0 - A)(c_1 + c_2)$  $(c_1 + c_2) = ae^{\frac{-i}{\hbar}(E_0 - A)t}$  "Eq 3" unspecified normalization

$$c_{I} = \langle I | \Psi \rangle \text{ and } c_{II} = \langle II | \Psi \rangle$$

$$i\hbar \frac{d}{dt} \begin{pmatrix} c_{I} \\ c_{II} \end{pmatrix} = \begin{pmatrix} E_{0} + A & 0 \\ 0 & E_{0} - A \end{pmatrix} \begin{pmatrix} c_{I} \\ c_{II} \end{pmatrix}$$

$$\begin{cases} \downarrow \\ i\hbar \frac{d}{dt} c_{I} = (E_{0} + A)c_{I} \\ i\hbar \frac{d}{dt} c_{II} = (E_{0} - A)c_{II} \end{cases} \text{ uncoupled eq.} \rightarrow \text{ no transitions}$$

We solved for 
$$c_I$$
,  $c_{II}$ : we get  
 $c_I = C \exp\left[\frac{-i(E_0 + A)t}{\hbar}\right]$   
 $c_{II} = D \exp\left[\frac{-i(E_0 - A)t}{\hbar}\right]$ 

Notice the basis which makes H diagonal yields the energies (eigenvalues) which are possible results of measurements.

(ii) subtract Eq 1 - Eq 2  

$$i\hbar \frac{d}{dt}(c_1 - c_2) = (E_0 + A)(c_1 - c_2)$$

$$c_1 - c_2 = be^{\frac{-i}{\hbar}(E_0 + A)t} \quad \text{"Eq 4"}$$
unspecified normalization

(iii) Solve Eq 3 and Eq 4 simultaneously to get

$$c_{1}(t) = \frac{a}{2}e^{\frac{-i}{\hbar}(E_{0}-A)t} + \frac{b}{2}e^{\frac{-i}{\hbar}(E_{0}+A)t}$$
$$c_{2}(t) = \frac{a}{2}e^{\frac{-i}{\hbar}(E_{0}-A)t} - \frac{b}{2}e^{\frac{-i}{\hbar}(E_{0}+A)t}$$

Question: Suppose at t=0 the molecule is in state  $|1\rangle$ . What is the probability that it will be found in  $|2\rangle$  at t=t'?

Answer:

starting condition:

$$c_1(0) = \langle 1 | \Psi(t=0) \rangle = 1$$
 AND  $c_2(0) = \langle 2 | \Psi(t=0) \rangle = 0$ 



Plug in a, b into  $c_1, c_2$ :

$$c_{1}(t) = \frac{1}{2}e^{\frac{-i}{\hbar}(E_{0}-A)t} + \frac{1}{2}e^{\frac{-i}{\hbar}(E_{0}+A)t} = e^{\frac{-i}{\hbar}(E_{0})t}\cos\left(\frac{At}{\hbar}\right)$$
$$c_{1}(t) = \frac{1}{2}e^{\frac{-i}{\hbar}(E_{0}-A)t} - \frac{1}{2}e^{\frac{-i}{\hbar}(E_{0}+A)t} = ie^{\frac{-i}{\hbar}(E_{0})t}\sin\left(\frac{At}{\hbar}\right)$$

So the probability that the system is in  $|2\rangle$  at t=t' is  $|\langle 2|\Psi(t')\rangle|^2$ 

$$\left|c_{2}(t')\right|^{2} = \left|ie^{\frac{-i}{\hbar}(E_{0})t}\sin\left(\frac{At'}{\hbar}\right)\right|^{2} = \sin^{2}\left(\frac{At'}{\hbar}\right)$$

### II. NMR = MRI

This is another example of a 2-state system

Consider a particle with charge q, mass m, and magnetic moment  $\overline{M}$  in a magnetic field  $\overline{B}$ .

Recall from classical E&M that it develops potential energy  $E=-\vec{M}\cdot\vec{B}$ 

Guess that the QM Hamiltonian for this process looks similar so

 $H=-\vec{M}\cdot\vec{B}$ 

Recall from Chapter 13 that  $\overline{M}$  is related to angular momentum

```
\vec{M} = \frac{qg\vec{L}}{2mc}
```

```
generalize this from \vec{L} to \vec{S}
```

 $\bar{\mathrm{M}}_{\substack{\text{due to spin}\\\text{when }\bar{\mathrm{L}}=0}} = \frac{qg\bar{S}}{2mc}$ 

the gyromagnetic ratio g, depends on the particle involved and whether the angular momentum is due to  $\overline{L}$  or  $\overline{S}$ .

For NMR, the particle is the proton and the angular momentum is  $\overline{S}$ . In that case,  $g=g_p = 5.6$  also q=+e and  $m=m_p$ 

So H=-
$$\vec{M} \cdot \vec{B} = \frac{-eg_p \vec{S} \cdot \vec{B}}{2m_p c}$$

Recall the spin operator  $\overline{S}$  is related to the composite vector  $\overline{\sigma}$  made

I. MRI (continued)

II. The medical application of MRI

III. Time Independent Perturbation Theory

Read Chapter 22, Sections 1,2,6 only

from the Pauli matrices, so

$$\begin{split} \vec{S} &= \frac{\hbar}{2} \vec{\sigma} \\ \text{Then } \vec{H} &= \frac{-eg}{2mc} \frac{\hbar}{2} \vec{\sigma} \cdot \vec{B} \qquad \sigma_x B_x + \sigma_y B_y + \sigma_z B_z \\ \text{ call this } \mu_p \\ \text{If } \vec{B} \text{ is purely } B_z \hat{z}, \text{ then } \vec{\sigma} \cdot \vec{B} &= \sigma_z B_z \text{ only} \\ &= \sigma_z |B| \\ &= \left( \begin{array}{c} 1 & 0 \\ 0 & -1 \end{array} \right) B \\ \text{Then } H \text{ would } &= -\mu_p B \left( \begin{array}{c} 1 & 0 \\ 0 & -1 \end{array} \right) \\ \text{If } B_z = + \left( \begin{array}{c} 1 & 0 \\ 0 & -1 \end{array} \right) \\ &= \left| \begin{array}{c} |s_z = + \right\rangle \\ |s_z = - \right\rangle \\ &= \left| \begin{array}{c} s_z = + \\ s_z = - \end{array} \right| \left( \begin{array}{c} -\mu_p B & 0 \\ 0 & +\mu_p B \end{array} \right) \\ \end{array}$$

The diagonal form would mean that the presence of the  $\vec{B}$  would not change the state of the p's spin.

the effect of H on the initial state of p There are no matrix elements that convert  $|-\rangle \rightarrow |+\rangle$  or  $|+\rangle \rightarrow |-\rangle$ Now suppose we add another component to  $\overline{B}$ Let  $\overline{B}=B_x \hat{x} + B_z \hat{z}$   $B_x = B_1 \cos \omega t$  still constant, call it  $B_0$ . It has no time dependence.  $B_1 = (e^{i\omega t} + e^{-i\omega t})/2$ 

Then 
$$\vec{\sigma} \cdot \vec{B} = \sigma_x B_x + \sigma_y B_y + \sigma_z B_z$$
  

$$\frac{B_1 \left( e^{i\omega t} + e^{-i\omega t} \right)}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad B_0 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$H = -\mu_p \sigma \cdot \vec{B} = \begin{pmatrix} -\mu_p B_0 & \frac{-\mu_p B_1 \left( e^{i\omega t} + e^{-i\omega t} \right)}{2} \\ \frac{-\mu_p B_1 \left( e^{i\omega t} + e^{-i\omega t} \right)}{2} & +\mu_p B_0 \end{pmatrix}$$

Now there is a possibility for the proton to flip its spin between  $|+\rangle$  and  $|-\rangle$  in response to  $\overline{B}$ .

If we define 
$$E_0 \equiv -\mu_p B_0$$
  
and  $A \equiv \frac{\mu_p B_1 \left(e^{i\omega t} + e^{-i\omega t}\right)}{2}$   
Then  $H = \begin{pmatrix} E_0 & -A \\ -A & -E_0 \end{pmatrix}$ 

So we could do calculations identical to the ones we did for the ammonia 2-state system, assume that the protons begin with spin up, then find the probability of finding spin down at t=t'.

For real MRI, the B field is usually a little more complicated.

Still have  $B_z = B_0$ and  $B_x = B_1 \cos \omega t$ but we also have  $B_y = -B_1 \sin \omega t$   $\leftarrow$  so the B field rotates in the x-y plane

So 
$$H_{MRI} = -\mu_p \boldsymbol{\sigma} \cdot \boldsymbol{\bar{B}}$$
  

$$= -\mu_p (\boldsymbol{\sigma}_x B_x + \boldsymbol{\sigma}_y B_y + \boldsymbol{\sigma}_z B_z)$$

$$= -\mu_p \left[ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} B_x + \begin{pmatrix} 0 & -i \\ +i & 0 \end{pmatrix} B_y + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} B_z \right]$$

$$= -\mu_p \left[ \begin{array}{c} B_0 & (B_1 \cos \omega t + iB_1 \sin \omega t) \\ (B_1 \cos \omega t - iB_1 \sin \omega t) & -B_0 \end{array} \right]$$

want to solve

$$-i\hbar \frac{d}{dt} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = H \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$

Solve 2 equations silmutaneously  $c_1 = \dots$ 

$$c_2 = \dots$$
  
Now assume that at t=0  $c_1 = \langle 1 | \Psi \rangle = 1$  and  $c_2 = \langle 2 | \Psi \rangle = 0$ 

This gives normalization so you get

 $c_1(t) = ....$ 

$$\mathbf{c}_{2}(t) = \frac{\frac{i\mu_{p}B_{1}}{\hbar}}{\left[\left(\frac{\mu_{p}B_{0}}{\hbar} - \frac{\omega}{2}\right)^{2} + \frac{\mu_{p}^{2}B_{1}^{2}}{\hbar^{2}}\right]^{\frac{1}{2}}} \cdot \sin\left\{\left[\left(\frac{\mu_{p}B_{0}}{\hbar} - \frac{\omega}{2}\right)^{2} + \frac{\mu_{p}^{2}B_{1}^{2}}{\hbar^{2}}\right]^{\frac{1}{2}}t\right\}e^{-i\frac{\omega}{2}t}$$

At any time t=t', the probability that a spin has flipped from + to - is  $\left|c_{2}(t')\right|^{2} =$ 

- I. The medical application of MRI
- II. Time-Independent Perturbation Theory

$$\left|c_{2}(t)\right|^{2} = \frac{\frac{\mu_{p}^{2}B_{1}^{2}}{\hbar^{2}}}{\left[\left(\frac{\mu_{p}B_{0}}{\hbar} - \frac{\omega}{2}\right)^{2} + \frac{\mu_{p}^{2}B_{1}^{2}}{\hbar^{2}}\right]} \cdot \sin^{2}\left\{\left[\left(\frac{\mu_{p}B_{0}}{\hbar} - \frac{\omega}{2}\right)^{2} + \frac{\mu_{p}^{2}B_{1}^{2}}{\hbar^{2}}\right]^{\frac{1}{2}}t\right\}$$
  
when  $\omega = \frac{2\mu_{p}B_{0}}{\hbar}$ , the denominator is minimized so the probability of spin flip is maximal.  
This is a magentic resonance

i nis is a magentic resonance.

How to use this for medical imaging

I. The medical purpose of MRI is to distinguish normal from non-normal tissue. How this works:

(i) When  $B_0(\hat{z})$  is turned on but  $B_1(xy)$  is off, then  $|S_z = +\rangle$  is a *lower* energy state  $(H_{11} = -\mu_p B_0)$   $|S_z = -\rangle$   $(H_{22} = +\mu_p B_0)$ So most protons are in the  $|S_z = +\rangle$  state. If  $N_0 =$  total # protons  $\frac{\# \text{ protons in } |S_z = +\rangle}{\# \text{ protons in } |S_z = -\rangle} = \frac{N_0 \exp\left(\frac{-E_+}{kT}\right)}{N_0 \exp\left(\frac{-E_-}{kT}\right)} = \frac{\exp\left(\frac{-(-\mu_p B_0)}{kT}\right)}{\exp\left(\frac{-(+\mu_p B_0)}{kT}\right)} = \exp\left(\frac{2\mu_p B_0}{kT}\right)$  (ii) Turn on  $B_1 \rightarrow \text{ most spins flip from } |+\rangle \text{ to } |-\rangle$ 

(iii) Turn off B<sub>1</sub>

the spins in normal tissue relax to  $|+\rangle$  at a different rate than do the spins in abnormal tissue. Measure relaxation rate by placing a coil near the tissue (but outside the body) and measure  $\sum \overline{M}_i$  by the current induced in the coil. I. Intro to addition of angular momentum

II. Example method for Clebsch-Gordan coefficient construction

Read Chapter 8 Section 2 (WKB approximation)

## I. Intro to Addition of Angular Momentum

A) Why total angular momentum is important in QM:
Most physical systems actually have > angular momentum contributor
Example: e<sup>-</sup> in Hydrogen has L and S
multi-atom system has multiple J<sub>i</sub>'s
only the total J for the system is conserved (ie ⟨Ψ|J|Ψ⟩≠ f) is called a "constant of motion"
(responds only to external forces)
commutes with the Hamiltonian H
has stationary states with definite eigenvalues
Those eigenvalues occur as measurements (eg reflected in spectroscopy of allowed energy levels of a system)
Example: In particular relativistic corrections introduced into the hydrogen atom Hamiltonian a term ~L ·S so need to describe the probabilites for different composite J values correctly

B) What we typically have:

math expressions for eigenfunctions, eigenvalues in terms of:





Call these eigenfunctions 
$$|J_1, m_1\rangle$$
 and  $|J_2, m_2\rangle$   
Then characteristic that  
 $J_1^2 |j_1, m_1\rangle = j_1(j_1 + 1)\hbar^2 |j_1, m_1\rangle$   
 $J_2^2 |j_2, m_2\rangle = j_2(j_2 + 1)\hbar^2 |j_2, m_2\rangle$   
 $J_{1z} |j_1, m_1\rangle = m_1\hbar |j_1, m_1\rangle$   
 $J_{2z} |j_2, m_2\rangle = m_2\hbar |j_2, m_2\rangle$ 

C) What we typically want is eigenvalues, eigenfunctions for  $J_{tot}^2$ ,  $m_{tot}$ . Call them  $|j,m\rangle$  such that  $J_{tot}^2|j,m\rangle = j(j+1)\hbar^2|j,m\rangle$  and  $J_{z tot}|j,m\rangle = m\hbar|j,m\rangle$ So we need a transformation matrix from  $|j_1,m_1\rangle|j_2,m_2\rangle \rightarrow |j,m\rangle$ 

i.e. Need this  $\left|j,m\right\rangle = \sum_{m_1+m_2=m} \left|j_1,m_1,j_2,m_2\right\rangle \left\langle j_1,m_1,j_2,m_2\right|j,m\right\rangle$  They are called the Clebsch-Gordan coefficients. They tell how much of each old  $|j_1, m_1, j_2, m_2\rangle$  eigenket contribute to each new  $|j, m\rangle$  eigenket. Note: the  $|j_1, m_1, j_2, m_2\rangle$  form a basis the  $|j, m\rangle$  form a different basis members in each basis are *orthoganol* 

Show that the eigenfunctions of a mermitian operator (for example J) are orthogoanl; as this is important in constructing the C-G coefficients

Let hermitian operator be  $A=A^{\dagger}$ 

Let eigenfunctions be  $\Psi_1$  and  $\Psi_2$ , so

$$A\Psi_1 = a_1\Psi_1 \qquad \text{Eq 1}$$

and

 $A\Psi_2 = a_2\Psi_2$ Complex conjugate this: $[A\Psi_2]^* = a_2^*\Psi_2^*$ but Herimitian operators have real eigenvalues so  $a_2^* = a_2$ . Then $[A\Psi_2]^* = a_2\Psi_2^*$ Eq 2

Take 
$$\int dx \Psi_2^* \cdot Eq1 \implies \int dx \Psi_2^* A \Psi_1 = \int dx \Psi_2^* a_1 \Psi_1$$
 Eq 3  
and  $\int dx \cdot Eq2 \cdot \Psi_1 \implies \int dx [A\Psi_2]^* \Psi_1 = \int dx a_2 \Psi_2^* \Psi_1$  Eq 4

$$Eq3 - Eq4:$$
  
$$\int dx \Psi_2^* A \Psi_1 - \int dx [A \Psi_2]^* \Psi_1 = (a_1 - a_2) \int dx \Psi_2^* \Psi_1$$

LHS:  

$$\int dx \Psi_2^* A \Psi_1 - \int dx [A \Psi_2]^* \Psi_1 = \int dx \Psi_2^* A \Psi_1 - \int dx \Psi_2^* A^{\dagger} \Psi_1 \qquad \text{for hermitian A}$$

$$= \int dx \Psi_2^* (A - A^{\dagger}) \Psi_1 = 0$$

RHS: If  $a_1 \neq a_2$ ,  $\int dx \Psi_2^* \Psi_1 = 0$ *i.e.*  $\Psi_1$  and  $\Psi_2$  are orthogonal

# II. Examples of Clebsch Gordan Coefficient construction

The C-G are available in tables--example of how some are constructed:

Let  $j_1 = j_2 = 1$ . There are 9 possible combined states  $|j_1, m_1, j_2, m_2\rangle$ :

 $1\,1\,1\,1$ 

1110

111-1

1 1 0 1

1100

1 1 0 -1

1 1 -1 1

1 1 -1 0

1 1 -1 -1

For fixed  $j_1 = 1$ ,  $j_2 = 1$ , call these states  $|m_1, m_2\rangle$  to simplify notation. There are 9 possible  $|jm\rangle$  states to which they contribute. How to see this:  $m=m_1 + m_2$  (sum of z-components) Bothe  $m_1$  and  $m_2$  can separately be 1, 0, -1

So m can be {2,1,0,-1,-2}

But m is the eigenvalue of  $J_z$ 

 $|J_z| \le J$ So J can be 0, 1, or 2. The 9 possible  $|jm\rangle$  states are 2 2 2 1 2 0 2 -1 2 -2 1 1 1 0 1 -1 0 0

For notation, use prime to indicate  $|j,m\rangle$  *i.e.*  $|1,1\rangle' \equiv |j=1,m=1\rangle$ Use unprimed to indicate  $|m_1,m_2\rangle$  *i.e.*  $|1,1\rangle \equiv |m_1 = 1, m_2 = 1\rangle$ Notice  $|2,2\rangle' = |1,1\rangle$ as both refer to state where  $m_1 = m_2 = 1$  and  $m_1$  and  $m_2$  are parallel Similarly  $|-2,-2\rangle' = |-1,-1\rangle$  So we can find all the states for j=2 either by apply J\_ to  $|2,2\rangle'$  or J<sub>+</sub> to  $|2,-2\rangle'$ 

Do this:

$$|2,2\rangle' = |1,1\rangle$$
  
but J\_ =  $(J_{1_{-}} + J_{2_{-}})$   
J\_  $|2,2\rangle' = (J_{1_{-}} + J_{2_{-}})|1,1\rangle$   
recall for any J\_ : J\_  $|j,m\rangle = \hbar\sqrt{j(j+1) - m(m-1)}|j,m\rangle$   
(on j<sub>1</sub> = 1, m<sub>1</sub> = 1) (on j<sub>2</sub> = 1, m<sub>2</sub> = 1)  
 $\hbar\sqrt{2(2+1) - 2(2-1)}|2,1\rangle' = \hbar\sqrt{1(1+1) - 1(1-1)}|0,1\rangle + \hbar\sqrt{1(1+1) - 1(1-1)}|1,0\rangle$ 

$$\hbar \cdot 2 |2,1\rangle' = \hbar \sqrt{2} |0,1\rangle + \hbar \sqrt{2} |1,0\rangle$$

$$\boxed{|2,1\rangle' = \frac{1}{\sqrt{2}} (|0,1\rangle + |1,0\rangle)}$$
C-G Coefficient

Now repeat. Apply  $J_{-}$  to both sides

I. Constructing C-G coefficients for the  $j_1 = j_2 = 1$  system (continued)

II. Symmetric and antisymmetric multi-particle states

III. Example application of C-G coefficients

$$\begin{aligned} J_{-} | 2, 1 \rangle' &= \frac{1}{\sqrt{2}} \left( J_{1-} + J_{2-} \right) \left( | 0, 1 \rangle + | 1, 0 \rangle \right) \\ \hbar \sqrt{2(2+1) - 1(1-1)} | 2, 0 \rangle' &= \\ & (\text{on } j_{1} = 1, m_{1} = 0) \\ & (\text{on } j_{2} = 1, m_{2} = 1) \\ & \left( \text{on } j_{2} = 1, m_{2} = 1 \right) \\ & \left( \text{on } j_{2} = 1, m_{1} = 1 \right) \\ & \left( \text{on } j_{2} = 1, m_{2} = 0 \right) \\ & \frac{1}{\sqrt{2}} \hbar \left\{ \sqrt{1(1+1) - 0(0-1)} | -1, 1 \rangle + \sqrt{1(1+1) - 1(1-0)} | 0, 0 \rangle + \sqrt{1(1+1) - 1(1-0)} | 0, 0 \rangle + \sqrt{1(1+1) - 0(0-1)} | 1, -1 \rangle \right\} \end{aligned}$$

$$\sqrt{6} |2,0\rangle' = \frac{1}{\sqrt{2}} \left\{ \sqrt{2} |-1,1\rangle + \sqrt{2} |0,0\rangle + \sqrt{2} |0,0\rangle + \sqrt{2} |1,-1\rangle \right\}$$
$$|2,0\rangle' = \left\{ \frac{1}{\sqrt{6}} |-1,1\rangle + \frac{2}{\sqrt{6}} |0,0\rangle + \frac{1}{\sqrt{6}} |1,-1\rangle \right\}$$

Similarly,



In general call "new kets" with a particular m values are linear combinations of old kets for which  $m_1 + m_2 = m$ So we foresee that

 $\begin{array}{ccc} \mathbf{m} & \mathbf{m}_1, \mathbf{m}_2 & \mathbf{m}_1, \mathbf{m}_2 \\ \left| 1, 1 \right\rangle' = a \left| 0, 1 \right\rangle \ + \ b \left| 1, 0 \right\rangle \end{array}$ 

But we also know that  $|1,1\rangle'$  must be orthogonal to  $|2,1\rangle'$  so specifically  $|1,1\rangle' = \frac{1}{\sqrt{2}} (|0,1\rangle - |1,0\rangle)$ 

Again apply J\_ to both sides to get:

$$|1,0\rangle' = \frac{1}{\sqrt{2}} (|1,-1\rangle - |-1,1\rangle)$$
$$|1,-1\rangle' = \frac{1}{\sqrt{2}} (|0,-1\rangle - |-1,0\rangle)$$

Now foresee that

$$|0,0\rangle' = a|1,-1\rangle + b|0,0\rangle + c|-1,1\rangle$$

and must be orthogonal to both  $\left|2,0\right\rangle'$  and  $\left|1,0\right\rangle'$ 

Require

$$\begin{array}{l} \left\langle 2,0 \right|' 0,0 \right\rangle' = 0 \\ \left\{ \frac{1}{\sqrt{6}} \left\langle 1,-1 \right| + \frac{2}{\sqrt{6}} \left\langle 0,0 \right| + \frac{1}{\sqrt{6}} \left\langle 1,-1 \right| \right\} \cdot \left\{ a \left| 1,-1 \right\rangle + b \left| 0,0 \right\rangle + c \left| -1,1 \right\rangle \right\} = 0 \\ \frac{1}{\sqrt{6}} a \left\langle 1,-1 \left| 1,-1 \right\rangle + \frac{2}{\sqrt{6}} b \left\langle 0,0 \right| 0,0 \right\rangle + \frac{1}{\sqrt{6}} c \left\langle 1,-1 \right| 1,-1 \right\rangle + \text{ all cross terms like } \left\langle 1,-1 \right| 0,0 \right\rangle = 0 \\ 1 & 1 & 1 & 0 \end{array}$$

$$a + 2b + c = 0$$
 "Eq1"

Also require

$$\langle 1,0|'0,0\rangle' = 0 \left\{ \frac{1}{\sqrt{2}} \langle 1,-1| - \frac{1}{\sqrt{2}} \langle -1,1| \right\} \cdot \left\{ a|1,-1\rangle + b|0,0\rangle + c|-1,1\rangle \right\} = 0 \frac{1}{\sqrt{2}} a \langle 1,-1|1,-1\rangle + \frac{1}{2} c \langle -1,1|-1,1\rangle + \text{ all cross terms like } \langle -1,1|0,0\rangle = 0 1 1 0$$

a - c = 0 "Eq2"

67

Solve Eq1 and Eq2 simultaneously:

Eq2: c=a Then Eq1 is: a+2b+a=0

So 
$$|0,0\rangle' = a|1,-1\rangle - a|0,0\rangle + a|-1,1\rangle$$
  
normalize  $\rightarrow a = \frac{1}{\sqrt{3}}$   
 $|0,0\rangle' = \frac{1}{\sqrt{3}} \{|1,-1\rangle - |0,0\rangle + |-1,1\rangle\}$ 

Facts about C-G coefficients

1) Handout for values of low j systems  
2) 
$$\langle j_1 m_1 j_2 m_2 | jm \rangle = (-1)^{j_1 + j_2 - j} \langle j_2 m_2 j_1 m_1 | jm \rangle$$
  
3)  $\langle j_1 m_1 j_2 m_2 | jm \rangle = (-1)^{j_1 + j_2 - j} \langle j_1, -m_1, j_2, -m_2 | jm \rangle$   
4)  $\langle j_1 m_1 j_2 m_2 | jm \rangle = (-1)^{j_1 - m_1} \left( \frac{2j + 1}{2j_2 + 1} \right)^{\frac{1}{2}} \langle j_1, m_1, j, -m | j_2, -m_2 \rangle$ 

### II. Symmetric and antisymmetric multiparticle states

Consider 2 identical spin 1/2 particles. Each can be independently spin up or spin down so there are 4 possible combined states.

$$m_{s_i} = +\frac{1}{2}$$
  $m_{s_i} = -\frac{1}{2}$ 

$$\left| \begin{array}{c} m_{s_{1}}, m_{s_{2}} \\ +\frac{1}{2} & +\frac{1}{2} \\ +\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & +\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} \end{array} \right|$$

Find form of combined state  $|s, m_s\rangle$ Analogous to converting  $|m_1m_2\rangle \rightarrow |jm\rangle$ Note  $m_s = m_{s_1} + m_{s_2}$  can be  $1 \quad (+\frac{1}{2}) \quad (+\frac{1}{2})$   $0 \quad (+\frac{1}{2}) \quad (-\frac{1}{2}) \text{ or } (-\frac{1}{2}) \quad (+\frac{1}{2})$  $-1 \quad (-\frac{1}{2}) \quad (-\frac{1}{2})$ 

Since  $|S_z| \leq |S|$ if  $|m_s|_{\text{max}} = 1$ , then  $S_{\text{max}} = 1$ , so S can be 0 or 1. Then allowed  $|S, m_s\rangle'$  are 1 1 1 0 1 -1 0 0 Begin with  $||1,1\rangle' = |\frac{1}{2},\frac{1}{2}\rangle|$  (both spin up) Apply S<sub>1</sub> to both:  $\mathbf{S}_{-} \left| 1, 1 \right\rangle' = \left( \mathbf{S}_{1-} + \mathbf{S}_{2-} \right) \left| \frac{1}{2}, \frac{1}{2} \right\rangle$  (analogous to J's)  $\left|1,0\right\rangle' = \frac{1}{\sqrt{2}} \left(\left|\frac{-1}{2},\frac{1}{2}\right\rangle + \left|\frac{1}{2},\frac{-1}{2}\right\rangle\right)$ 

Note  $|0,0\rangle'$  has  $m_s = 0$  so must be built of kets with  $m_1 + m_2 = 0$ , i.e. also  $\left|\frac{1}{2}, \frac{-1}{2}\right\rangle$  and  $\left|\frac{-1}{2}, \frac{1}{2}\right\rangle$ But also  $|0,0\rangle'$  must be *orthogonal* to  $|1,0\rangle'$  so it is  $|0,0\rangle' = \frac{1}{\sqrt{2}} \left(\left|\frac{-1}{2}, \frac{1}{2}\right\rangle - \left|\frac{1}{2}, \frac{-1}{2}\right\rangle\right)$  Lastly by inspection  $|1,-1\rangle' = |\frac{-1}{2},\frac{-1}{2}\rangle$  (both particles spin down)

Rewrite the 4 coupled states

$$\begin{bmatrix} |1,1\rangle' = \left|\frac{1}{2},\frac{1}{2}\rangle \\ |1,0\rangle' = \frac{1}{\sqrt{2}}\left(\left|\frac{-1}{2},\frac{1}{2}\rangle + \left|\frac{1}{2},\frac{-1}{2}\rangle\right) \\ |1,-1\rangle' = \left|\frac{-1}{2},\frac{-1}{2}\rangle \\ |0,0\rangle' = \frac{1}{\sqrt{2}}\left(\left|\frac{-1}{2},\frac{1}{2}\rangle - \left|\frac{1}{2},\frac{-1}{2}\rangle\right) \\ \end{bmatrix} \end{bmatrix}$$
Set of 3 "Triplet States"  
all are symmetric under interchange  $m_{s_1} \leftrightarrow m_{s_2}$   
"Singlet State"  
is anti-symmetric under interchange  $m_{s_1} \leftrightarrow m_{s_2}$ 

Where Pauli Principle demands Fermions: total wavefunction antisymmetric (spatial)  $\cdot$  (spin)  $\Psi_{n\ell m} \cdot \chi$  $\Psi_{n\ell m}$  are hydrogenic wavefunctions

*These* are  $\chi$ 

I. Example application of coupled basis II. Intro to WKB
I. Example application of couples basis Goswami problem 17-7

Consider a 2-particle Hamiltonian for a spin system H=A+BS<sub>1</sub> ·  $S_2$ 

Calculate the eigenvalues and eigenstates for 2 identical spin 1/2 particles

(i) using uncoupled representation  $|m_{s_1}, m_{s_2}\rangle$ (ii) using coupled representation  $|s, m_s\rangle$ 

Solution:

(i) H=A+B(
$$S_{1x}S_{2x} + S_{1y}S_{2y} + S_{1z}S_{2z}$$
)  
Recall  $S_{+} = S_{x} + iS_{y}$  and  $S_{-} = S_{x} - iS_{y}$ , so  
 $S_{x} = \frac{S_{+} + S_{-}}{2}$  and  $S_{y} = \frac{S_{+} - S_{-}}{2i}$ 

Then H=A+B(
$$S_{1x}S_{2x} + S_{1y}S_{2y} + S_{1z}S_{2z}$$
) =  
A+B $\left[\left(\frac{S_{1+} + S_{1-}}{2}\right)\left(\frac{S_{2+} + S_{2-}}{2}\right) + \left(\frac{S_{1+} - S_{1-}}{2i}\right)\left(\frac{S_{2+} - S_{2-}}{2i}\right) + S_{1z}S_{2z}\right]$ 

$$\begin{split} &= A + \frac{B}{4} \Big[ S_{1+}S_{2+} + S_{1-}S_{2-} + S_{1+}S_{2-} + S_{1-}S_{2+} - S_{1+}S_{2-} + S_{1-}S_{2+} + S_{1+}S_{2-} \Big] + BS_{1z}S_{2z} \\ &= A + \frac{B}{2} \Big[ S_{1+}S_{2-} \Big] + \frac{B}{2} \Big[ S_{1-}S_{2+} \Big] + BS_{1z}S_{2z} \\ A \Big| m_{s_1}, m_{s_2} \Big\rangle &= A \Big| m_{s_1}, m_{s_2} \Big\rangle \\ BS_{1z}S_{2z} \Big| m_{s_1}, m_{s_2} \Big\rangle &= B\hbar^2 m_{s_1} m_{s_2} \Big| m_{s_1}, m_{s_2} \Big\rangle \\ \frac{B}{2} S_{1+}S_{2-} &= \frac{B}{2} \hbar^2 \sqrt{\frac{1}{2} (\frac{1}{2} + 1) - m_{s_1} (m_{s_1} + 1)} \sqrt{\frac{1}{2} (\frac{1}{2} + 1) - m_{s_2} (m_{s_2} - 1)} \Big| m_{s_1} + 1, m_{s_2} - 1 \Big\rangle \\ \frac{B}{2} S_{1-}S_{2+} &= \frac{B}{2} \hbar^2 \sqrt{\frac{1}{2} (\frac{1}{2} + 1) - m_{s_1} (m_{s_1} - 1)} \sqrt{\frac{1}{2} (\frac{1}{2} + 1) - m_{s_2} (m_{s_2} + 1)} \Big| m_{s_1} - 1, m_{s_2} + 1 \Big\rangle \end{split}$$

$$\begin{vmatrix} \frac{1}{2}, \frac{1}{2} \rangle & \begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \rangle & \begin{vmatrix} -\frac{1}{2}, \frac{1}{2} \rangle & \begin{vmatrix} -\frac{1}{2}, -\frac{1}{2} \rangle \\ \begin{vmatrix} \frac{1}{2}, \frac{1}{2} \rangle & A + \frac{B\hbar^2}{4} & 0 & 0 \\ \begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \rangle & 0 & A - \frac{B\hbar^2}{4} & \frac{B\hbar^2}{2} & 0 \\ \begin{vmatrix} -\frac{1}{2}, \frac{1}{2} \rangle & 0 & \frac{B\hbar^2}{2} & A - \frac{B\hbar^2}{4} & 0 \\ \end{vmatrix}$$

$$\begin{vmatrix} -\frac{1}{2}, -\frac{1}{2} \rangle & 0 & 0 & 0 & A + \frac{B\hbar^2}{4} \end{vmatrix}$$

2 eigenvectors are given by diagonals:

$$\left|\frac{1}{2},\frac{1}{2}\right\rangle$$
 has E=A+ $\frac{B\hbar^2}{4}$   
 $\left|\frac{-1}{2},\frac{-1}{2}\right\rangle$  has E=A+ $\frac{B\hbar^2}{4}$ 

To find others, diagonalize 2x2 submatrix to get:

$$\frac{1}{\sqrt{2}} \left[ \left| \frac{1}{2}, \frac{-1}{2} \right\rangle + \left| \frac{-1}{2}, \frac{1}{2} \right\rangle \right] \text{ with } E = A + \frac{B\hbar^2}{4}$$
$$\frac{1}{\sqrt{2}} \left[ \left| \frac{1}{2}, \frac{-1}{2} \right\rangle - \left| \frac{-1}{2}, \frac{1}{2} \right\rangle \right] \text{ with } E = A - \frac{3B\hbar^2}{4}$$

(ii) In the coupled basis: Recall H=A+BS<sub>1</sub>  $\cdot$  S<sub>2</sub>

If we let 
$$S=S_1 + S_2$$
, then  
 $S^2 = (S_1 + S_2)^2 = S_1^2 + S_2^2 + 2S_1S_2$   
Then  $S_1S_2 = \frac{S^2}{2} - \frac{S_1^2}{2} - \frac{S_2^2}{2}$   
 $S^2 | s, m_s \rangle = \hbar^2 s(s+1) | s, m_s \rangle$   
 $S_1^2 | s, m_s \rangle = \hbar^2 s_1(s_1+1) | s, m_s \rangle$   
 $S_2^2 | s, m_s \rangle = \hbar^2 s_2(s_2+1) | s, m_s \rangle$ 

Eigenvalues:

when S=1, S<sub>1</sub> = 
$$\frac{1}{2}$$
, S<sub>2</sub> =  $\frac{1}{2}$   

$$\begin{cases} |s = 1, m_s = 1\rangle \\ |s = 1, m_s = 0\rangle \\ |s = 1, m_s = -1\rangle \end{cases}$$

$$E = A + \frac{B}{2}\hbar^2 \Big[ 1(1+1) - \frac{1}{2}(\frac{1}{2}+1) - \frac{1}{2}(\frac{1}{2}+1) \Big] = A + \frac{B\hbar^2}{4}$$
When S=0, S = 1, S = 1, S = 1, [|s = 0, m\_s = 0\rangle

When S=0, S<sub>1</sub> = 
$$\frac{1}{2}$$
, S<sub>2</sub> =  $\frac{1}{2}$  { $|s = 0, m_s = 0$ }  
 $E = A + \frac{B}{2}\hbar^2 \Big[ 0(0+1) - \frac{1}{2}(\frac{1}{2}+1) - \frac{1}{2}(\frac{1}{2}+1) \Big] = A - \frac{3B\hbar^2}{4}$ 

I. Time-independent perturbation theory

I. Time-independent perturbation Theory For every kind of *interaction* in the world there is a Hamiltonian H force measurement etc. In general one wants to predict the *results* that could be

> eigenvalues, eigenvectors = possible stationary states and their energies

obtained by studying that interaction.

So one wants to diagonalize the H to get

$$H |\Psi_n\rangle = E_n |\Psi_n\rangle \quad \text{for all states n.}$$
  
Recall 
$$H = \frac{-\hbar^2}{2m} + V$$

It turns out that most physical V's are so complicated that it is not possible to solve  $H|\Psi_n\rangle = E_n|\Psi_n\rangle$  analytically for them.

For some of these H's we can still get an approximate solution IF we can write them in this form:



I. Time-independent perturbation Theory (continued)

So  $H=H_0 + \lambda H_1$  and our goal is to *solve* (get  $E_n$  and  $|\Psi_n\rangle$ ) ( $H_0 + \lambda H_1$ ) $|\Psi_n\rangle = E_n |\Psi_n\rangle$ 

To solve this we make the following assumption:

i) there exist known solutions " $E_n^{(0)}$ " and  $|\varphi_n\rangle$  to the equation  $\mathbf{H}_{0} | \boldsymbol{\varphi}_{n} \rangle = \mathbf{E}_{n}^{(0)} | \boldsymbol{\varphi}_{n} \rangle$ ii) If  $\lambda \to 0$ , the unknown  $|\Psi_n\rangle \to$  the known  $|\varphi_n\rangle$  and the unknown  $E_n \rightarrow$  the known  $E_n^0$ iii) Because the  $|\varphi_n\rangle$  are eigenvectors, they form a basis, so we can expand  $|\Psi_n\rangle$  in terms of them  $\left|\Psi_{n}\right\rangle = \sum_{k} \left|\varphi_{k}\right\rangle \left\langle\varphi_{k}\right|\Psi_{n}\right\rangle$  $= \left\langle \varphi_{k} \left| \Psi_{n} \right\rangle \right| \varphi_{n} \right\rangle + \sum_{k \in \mathbb{N}} \left| \varphi_{k} \right\rangle \left\langle \varphi_{k} \left| \Psi_{n} \right\rangle \right\rangle$ the amount this overlaps depends on the value of  $\lambda$ . call this term  $N(\lambda)$  $= \mathbf{N}(\lambda) \left[ \left| \varphi_n \right\rangle + \sum_{k \neq n} \left| \varphi_k \right\rangle \frac{\left\langle \varphi_k \right| \Psi_n \right\rangle}{\mathbf{N}(\lambda)} \right]$ call this  $C_{nk}$ 

In order that  $|\Psi_n\rangle \longrightarrow |\varphi_n\rangle$ , must have N( $\lambda = 0$ )=1

(iv) The  $c_{nk}$  represent the amount of admixture between  $|\Psi_n\rangle$  and the unperturbed states  $|\varphi_k\rangle$ . So the  $c_{nk}$  are functions of  $\lambda$ .

Assume they can be written as

$$c_{nk}(\lambda) \equiv c_{nk}^{(0)} + \lambda c_{nk}^{(1)} + \lambda^2 c_{nk}^{(2)} + \dots$$
  
we assume that when  $\lambda = 0$ ,  $|\Psi_n\rangle = |\varphi_n\rangle$  (there is no admixture between  
 $|\Psi_n\rangle$  and  $|\Psi_{n\neq k}\rangle$ ), so  $c_{nk}(\lambda = 0) = 0$ . this means  $c_{nk}^{(0)} = 0$ .

(v) Also assume 
$$E_n \equiv E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots$$
  
So  $E_n \xrightarrow{\lambda \to 0} E_n^{(0)}$  naturally

Plug in the expansions:

$$\begin{pmatrix} H_0 + \lambda H_1 \end{pmatrix} | \Psi_n \rangle = \mathbf{E}_n | \Psi_n \rangle$$

$$\begin{pmatrix} H_0 + \lambda H_1 \end{pmatrix} \mathbf{N}(\lambda) \left\{ \left| \varphi_n \right\rangle + \sum_{k \neq n} \left| \varphi_k \right\rangle c_{nk} \right\} = \left( E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots \right) \mathbf{N}(\lambda) \left\{ \left| \varphi_n \right\rangle + \sum_{k \neq n} \left| \varphi_k \right\rangle c_{nk} \right\}$$

$$plug \text{ in } c_{nk} = \lambda c_{nk}^{(1)} + \lambda^2 c_{nk}^{(2)} + \dots$$

$$\left(H_0 + \lambda H_1\right) \left\{ \left| \varphi_n \right\rangle + \sum_{k \neq n} \lambda c_{nk}^{(1)} \left| \varphi_k \right\rangle + \sum_{k \neq n} \lambda^2 c_{nk}^{(2)} \left| \varphi_k \right\rangle + \dots \right\} = \left(E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots\right) \left\{ \left| \varphi_n \right\rangle + \sum_{k \neq n} \lambda c_{nk}^{(1)} \left| \varphi_k \right\rangle c_{nk} + \sum_{k \neq n} \lambda^2 c_{nk}^{(2)} \left| \varphi_k \right\rangle c_{nk} + \dots \right\}$$

Require that this equation be true for arbitrary  $\lambda$ , so the coefficients of each power of  $\lambda$  on both sides must be equal.

$$\lambda^{0} : \boxed{H_{0} | \varphi_{n} \rangle = E_{n}^{(0)} | \varphi_{n} \rangle} \text{ presumably all these terms are known} \\ \lambda^{1} : H_{0} \sum_{k \neq n} c_{nk}^{(1)} | \varphi_{k} \rangle + H_{1} | \varphi_{n} \rangle = E_{n}^{(0)} \sum_{k \neq n} c_{nk}^{(1)} | \varphi_{k} \rangle + E_{n}^{(1)} | \varphi_{n} \rangle \\ \lambda^{2} : H_{0} \sum_{k \neq n} c_{nk}^{(2)} | \varphi_{k} \rangle + H_{1} \sum_{k \neq n} c_{nk}^{(1)} | \varphi_{k} \rangle + H_{2} | \varphi_{n} \rangle = E_{n}^{(0)} \sum_{k \neq n} c_{nk}^{(2)} | \varphi_{k} \rangle + E_{n}^{(1)} \sum_{k \neq n} c_{nk}^{(1)} | \varphi_{k} \rangle + E_{n}^{(2)} | \varphi_{n} \rangle \\ \lambda^{3} : \dots \\ \hline \text{We will solve for } E_{n}^{(1)}, c_{nk}^{(1)}, E_{n}^{(2)}, N(\lambda) \\ \hline \text{To find } E_{n}^{(1)} : \text{Rewrite the } \lambda \text{ coefficients' equations emphasize } E_{n}^{(1)} : \\ E_{n}^{(1)} | \varphi_{n} \rangle = H_{1} | \varphi_{n} \rangle + \sum_{k \neq n} c_{nk}^{(1)} H_{0} | \varphi_{k} \rangle - E_{n}^{(0)} \sum_{k \neq n} c_{nk}^{(1)} | \varphi_{k} \rangle \\ = H_{1} | \varphi_{n} \rangle + \sum_{k \neq n} (E_{k}^{(0)} - E_{n}^{(0)}) c_{nk}^{(1)} | \varphi_{k} \rangle \qquad \text{"Eq1"}$$

Multiply equation by  $\langle \varphi_n |$ :



To find 
$$\mathbf{c}_{nk}^{(1)}$$
:  
Multiply Eq1 by  $\langle \varphi_m |$   $(\mathbf{m} \neq \mathbf{n})$ :  
 $E_n^{(1)} \langle \varphi_m | \varphi_n \rangle = \langle \varphi_m | H_1 | \varphi_n \rangle + \sum_{\substack{k \neq n \\ 0}} \left( E_k^{(0)} - E_n^{(0)} \right) \mathbf{c}_{nk}^{(1)} \langle \varphi_m | \varphi_k \rangle$   
 $\overbrace{\mathbf{0}} \qquad \overbrace{\mathbf{0}} \ \overbrace{\mathbf{0}$ 

$$0 = \left\langle \varphi_{m} \right| H_{1} \left| \varphi_{n} \right\rangle + \left( E_{m}^{(0)} - E_{n}^{(0)} \right) c_{nm}^{(1)}$$

$$\mathbf{c}_{nm}^{(1)} = \frac{\left\langle \boldsymbol{\varphi}_{m} \middle| \boldsymbol{H}_{1} \middle| \boldsymbol{\varphi}_{n} \right\rangle}{\boldsymbol{E}_{n}^{(0)} - \boldsymbol{E}_{m}^{(0)}}$$

I. Time-independent perturbation theory (continued)

II. The Stark Effect

III. Degenerate perturbation theory

Read Chapter 22 Sections 1 and 2 only

Facts about the first-order mixing coefficient  $c_{nm}^{(1)}$ :

(i) H<sub>1</sub> will naturally have some off-diagonal elements (if it did not, we would not have had to define a "λH<sub>1</sub>", we could have incorporated it into H<sub>0</sub>).
Call some non-diagonal H<sub>1</sub> element "H<sub>1mn</sub>". Then we say that "states |φ<sub>m</sub>⟩|φ<sub>m</sub>⟩ are connected by the pater bation" i.e., H<sub>1</sub> causes the system to make transitions between |φ<sub>m</sub>⟩ and |φ<sub>n</sub>⟩.
(ii) Recall c<sub>nk</sub> represents the amount of admixture |φ<sub>k</sub>⟩ that the perturbation causes to be superposed into |φ<sub>n</sub>⟩. We see that the magnitude of admixture depends on 2 things:
1) the overlap ⟨φ<sub>k</sub>|H<sub>1</sub>|φ<sub>n</sub>⟩ i.e. "how much H<sub>1</sub> changes |φ<sub>n</sub>⟩ to be like |φ<sub>k</sub>⟩"
2) the unperturbed energy deifference between the states: E<sup>(0)</sup><sub>n</sub> - E<sup>(0)</sup><sub>k</sub> are, the more of |φ<sub>k</sub>⟩ will be mixed

into  $|\varphi_n\rangle$  by the perturbation.

3) The size of  $c_{nk}^{(1)}$  defines whether a perturbation is "small", i.e. whether it was ok to expand everything in terms of  $\lambda$ .

I. Time-independent perturbation theory (continued)II. Example of T-I-P-T: Stark Effect EnergiesIII. Degenerate perturbation theoryIV. Example of DPT: Stark Effect wavefunctions

Read Goswami Chapter 22

To find  $E_n^{(2)}$ :

Recall the  $\lambda^2$  coefficient's equation:

$$H_{0}\sum_{k\neq n} \mathbf{c}_{nk}^{(2)} |\varphi_{k}\rangle + H_{1}\sum_{k\neq n} \mathbf{c}_{nk}^{(1)} |\varphi_{k}\rangle + H_{2} |\varphi_{n}\rangle = E_{n}^{(0)}\sum_{k\neq n} \mathbf{c}_{nk}^{(2)} |\varphi_{k}\rangle + E_{n}^{(1)}\sum_{k\neq n} \mathbf{c}_{nk}^{(1)} |\varphi_{k}\rangle + E_{n}^{(2)} |\varphi_{n}\rangle$$

Rewrite to emphsasize 
$$E_n^{(2)}$$
:  

$$E_n^{(2)} |\varphi_n\rangle = \sum_{k \neq n} c_{nk}^{(2)} H_0 |\varphi_k\rangle + \sum_{k \neq n} c_{nk}^{(1)} H_1 |\varphi_k\rangle - E_n^{(0)} \sum_{k \neq n} c_{nk}^{(2)} |\varphi_k\rangle - E_n^{(1)} \sum_{k \neq n} c_{nk}^{(1)} |\varphi_k\rangle$$

$$= (0) |z_n\rangle$$

$$E_k^{(0)} | \varphi_k \rangle$$

Multiply on left with 
$$\langle \varphi_n | :$$
  

$$E_n^{(2)} \langle \varphi_n | \varphi_n \rangle = \sum_{k \neq n} c_{nk}^{(2)} E_k^{(0)} \langle \varphi_n | \varphi_k \rangle + \sum_{k \neq n} c_{nk}^{(1)} \langle \varphi_n | H_1 | \varphi_k \rangle - E_n^{(0)} \sum_{k \neq n} c_{nk}^{(2)} \langle \varphi_n | \varphi_k \rangle - E_n^{(1)} \sum_{k \neq n} c_{nk}^{(1)} \langle \varphi_n | \varphi_k \rangle$$

I. Time-independent perturbation theory (continued)

II. Example of T-I-P-T: Stark Effect Energies

III. Degenerate perturbation theory

IV. Example of Degenerate Perturbation Theory



Notice:

1) To get  $E_n^{(2)}$  for any level n, you have to sum over the connections to all other states 2) when n=0, we are considering the ground state. Then since  $k \neq 0$  all  $E_k$  are by definition higher energy states, so all the  $E_n^{(0)} - E_k^{(0)}$  are *negative*.

To get N( $\lambda$ ): Require  $\langle \Psi_n | \Psi_n \rangle = 1$ 

$$1 = N^{2}(\lambda) \left\{ \left\langle \varphi_{n} \middle| \varphi_{n} \right\rangle + \lambda^{2} \sum_{n \neq k} \left| c_{nk}^{(1)} \right|^{2} \left\langle \varphi_{k} \middle| \varphi_{k} \right\rangle + \dots \right\}$$

$$1 \qquad 1$$

$$1 = N^{2}(\lambda) \left\{ 1 + \lambda^{2} \sum_{n \neq k} \left| c_{nk}^{(1)} \right|^{2} + \dots \right\}$$
  
So to first order in  $\lambda$ , N( $\lambda$ )=1

Plug in N and 
$$c_{nk}^{(1)}$$
 to  $|\Psi_n\rangle$ :  
 $|\Psi_n\rangle = |\varphi_n\rangle + \sum_{n \neq k} \frac{\langle \varphi_k | H_1 | \varphi_n \rangle}{E_n^{(0)} - E_k^{(0)}} |\varphi_k\rangle + \dots$ 

II. Example use of time-independent Perturbation Theory: Stark Effect Energies Regular hydrogen atom normally experiences  $V = \frac{-e^2}{r}$  only. Suppose it is embedded in an external electric field  $\vec{E}$ . How does this effect its energy levels?

So we want to find  $\lambda E_n^{(1)} = \langle \varphi_n | \lambda H_1 | \varphi_n \rangle$  and  $\lambda E_n^{(2)} = \sum_{n \neq k} \frac{\left| \langle \varphi_k | \lambda H_1 | \varphi_n \rangle \right|^2}{E_n^{(0)} - E_k^{(0)}}$ (Now absorb  $\lambda$  in  $E_n$  and  $H_1$ ) Recall the  $|\varphi_n\rangle$  are the unperturbed eigenfunctions. For the hydrogen atom these are the  $\Psi_{n\ell m} = R_{n\ell}Y_{\ell m} = |\varphi_n\rangle$ 

To find  $\lambda H_1$  recall that an  $\vec{E}$  only affects an object's energy if that object has a dipole moment  $q\vec{r}$ . Here q=eSo  $H_1 = e\vec{E}\vec{r}$ 

Let  $\vec{E} = E\hat{z}$   $H_1 = eEz$ Then  $E_n^{(1)} = \langle \Psi_{n\ell m} | eEz | \Psi_{n\ell m} \rangle$  where  $z = rcos\theta$   $= eE \int_r R_{n\ell}^* r R_{n\ell} r^2 dr \int_{\theta,\phi} Y_{\ell m}^* cos\theta Y_{\ell m} sin\theta d\theta d\phi$ 0

So  $E_n^{(1)} = 0$  for any level n

To ilustrate how to find  $E_n^{(2)}$ , choose a specific set of quantum numbers n=1,  $\ell$ =0, m=0 (ground state)

$$\mathbf{E}_{100}^{(2)} = e^{2} E^{2} \sum_{n \ell m \neq 100} \frac{\left| \left\langle \Psi_{n \ell m} \left| z \left| \Psi_{100} \right\rangle^{2} \right| \right.}{\mathbf{E}_{100}^{(0)} - \mathbf{E}_{n \ell m}^{(0)}}$$

I. Degenerate Perturbation Theory

II. Using Degenerate Perturbation theory on the Stark Effect

III. Time-Dependent Perturbation Theory



III. Degenerate Perturbation Theory

Recall with Perturbation Theory,

$$\mathbf{c}_{nk}^{(1)} = \frac{\left\langle \varphi_{k} \left| H_{1} \right| \varphi_{n} \right\rangle}{E_{n}^{(0)} - E_{k}^{(0)}} \quad \text{and} \quad E_{n}^{(2)} = \sum_{n \neq k} \frac{\left| \left\langle \varphi_{k} \left| H_{1} \right| \varphi_{n} \right\rangle \right|^{2}}{E_{n}^{(0)} - E_{k}^{(0)}}$$

So if 2 levels (k and n) have the same UNperturbed energies  $E_k^{(0)}$  and  $E_n^{(0)}$ , that will cause a  $\div 0$  which will make these expressions meaningless.

This  $\div 0$  is a sign that here is a case where the perturbation expansion is not being done right. Recall the expansion is only allowed if the  $c_{nk}^{(1)}$  are small, i.e. if  $\langle \varphi_k | H_1 | \varphi_n \rangle \ll (E_n^{(0)} - E_k^{(0)})$ 

- I. Degenerate Perturbation Theory (continued)
- II. Stark Effect eigenfunctions
- III. Time-Dependent Perturbation Theory

Read Goswami Chapter 23

General plan to fix this

(i) diagonalize the part at the H<sub>1</sub> matrix which connects  $\langle \varphi_k |$  and  $|\varphi_n \rangle \rightarrow$  then these  $\langle \varphi_k | H_1 | \varphi_n \rangle$ 

will = 0

(ii) Recall perturbation theory depends on  $H_0$  being diagonal (must have known eigenvalues and eigenfunctions) so we do not want to damage that.

(iii) It is not in general possible to change the basis to one which diagonalizes  $H_1$  without

"undiagonalizing"  $H_0$ . So to be careful we have to actually diagonalize  $H_0 + H_1$  (absorbed  $\lambda$  into  $H_1$ )

```
So in general:

H = H_{0} + H_{1} = \begin{pmatrix} E_{1} & & \\ & E_{2} & & \\ & & \begin{pmatrix} E_{i} & A \\ & A & E_{i} \end{pmatrix} \\ & & & E_{6} \end{pmatrix}
diagonalize this (call is "matrix E_{i}")
```

(iv) A trick: if matrix  $E_i$  is the whole matrix or if you don't care about the states outside of it, the you only have to diagonalize  $H_1$ , not  $H_0$ . This is because in the matrix  $E_i$ ,  $H_0$  is not just diagonal but also proportional to the unit matrix **1**. Since  $H_1$  (and all matrices) commute with **1**, *in this subspace* you can diagonalize  $H_1$  without affecting  $H_0$ . This is what we will do. But if one does this it is important afterwards not to discuss the re-diagonalized  $E_i$  subspace states and the non- $E_i$  subspace states together, the are in different bases.

(v) Limit focus to the  $E_i$  subspace. Diagonalize  $H_1$ 

call it  $H'_1$ 

(vi) Get the new  $E'_i$ ,  $c'_{nk}$ ,  $\Psi'_n$  associated with  $H'_1$ 

(vii) Apply non-degenerate perturbation theory within this subspace since the  $E'_i$  are all different. If some of the  $E'_i$  are still degenerate must diagonalize *their* subspace and iterate (this is called second order Degenerate Perturbation Theory; we will not do it.)

- I. Example of degenerate perturbation theory: the Stark Effect
- II. Time-dependent Perturbation Theory

IV. Example of Degenerate Perturbation Theory: The Stark Effect



(i) Recall that the solutions to  $H_0$  are the  $\Psi_{n\ell m}$ 's

Recall their energies are determined only by their "n" quantum number

$$E = \frac{-mZ^{2}e^{4}}{2\hbar^{2}n^{2}}$$
  
So for example 
$$\begin{cases} \Psi_{200} \\ \Psi_{211} \\ \Psi_{210} \\ \Psi_{21-1} \end{cases}$$
 all have the same energy 
$$\Psi_{21-1} \end{cases}$$

Ignore  $\Psi_{211}$  and  $\Psi_{21-1}$  for now and apply degenerate perturbation theory to  $\Psi_{200}$  and  $\Psi_{210}$ : (ii) Recall usually we would have to diagonalize  $H_0 + H_1$  but if we confine our attention to the degenerate state only, then we can just diagonalize  $H_1$  for the states that are degenerate. Do this:

$$\begin{aligned} H_{1} \begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix} &= E^{(1)} \begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix} \\ & \downarrow \\ & |\Psi_{200}\rangle & |\Psi_{210}\rangle \\ \left\langle \Psi_{200} \middle| \begin{pmatrix} \left\langle \Psi_{200} \middle| eEz \middle| \Psi_{200} \right\rangle & \left\langle \Psi_{200} \middle| eEz \middle| \Psi_{210} \right\rangle \\ \left\langle \Psi_{210} \middle| \begin{pmatrix} \left\langle \Psi_{200} \middle| eEz \middle| \Psi_{200} \right\rangle & \left\langle \Psi_{210} \middle| eEz \middle| \Psi_{210} \right\rangle \\ \left\langle \Psi_{210} \middle| eEz \middle| \Psi_{200} \right\rangle & \left\langle \Psi_{210} \middle| eEz \middle| \Psi_{210} \right\rangle \end{pmatrix} \\ \begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix} &= E^{(1)} \begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix} \end{aligned}$$

Calculate the elements of the H<sub>1</sub> matrix: (use r=zcos
$$\theta$$
)  
 $\langle \Psi_{200} | eEz | \Psi_{200} \rangle = eE \int r^2 dr R_{20} r R_{20} \int \sin \theta \, d\theta \, d\phi Y_{00} \cos \theta Y_{00} = 0$ 

Similarly, 
$$\langle \Psi_{210} | eEz | \Psi_{210} \rangle = 0$$
  
 $\langle \Psi_{200} | eEz | \Psi_{210} \rangle = \langle \Psi_{210} | eEz | \Psi_{200} \rangle =$   
 $\int_{0}^{\infty} r^{2} dr \frac{1}{(2a_{0})^{\frac{3}{2}}} 2 \left( 1 - \frac{r}{2a_{0}} \right) e^{\frac{-r}{2a_{0}}} r \frac{1}{(2a_{0})^{\frac{3}{2}}} \frac{1}{\sqrt{3}} \left( \frac{r}{a_{0}} \right) e^{\frac{-r}{2a_{0}}} \cdot \int Y_{00} \cos \theta Y_{10} \sin \theta d\theta d\phi = -3a_{0}eE$   
 $\sim Y_{10}$ 

Then 
$$H_1 \begin{pmatrix} u_1 \\ v_1 \end{pmatrix} = E^{(1)} \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}$$
 becomes  
 $\begin{pmatrix} 0 & -3eEa_0 \\ -3eEa_0 & 0 \end{pmatrix} \begin{pmatrix} u_1 \\ v_1 \end{pmatrix} = E^{(1)} \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}$ 

Diagonalize:

 $\begin{vmatrix} -E^{(1)} & -3eEa_{0} \\ -3eEa_{0} & -E^{(1)} \end{vmatrix} = 0$  $E^{(1)} = \pm 3eEa_{0}$ 

For 
$$E_{+}^{(1)} = +3eEa_{0}$$
,  $\begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix}_{+} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$  remember this is in the basis  $\begin{pmatrix} \Psi_{200} \\ \Psi_{210} \end{pmatrix}$   
For  $E_{-}^{(1)} = -3eEa_{0}$ ,  $\begin{pmatrix} u_{1} \\ v_{1} \end{pmatrix}_{-} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ 

\*Conclusion:

The perturbations converts  $\Psi_{200}$  and  $\Psi_{210}$  both with  $E = \frac{-mZe^4}{2\hbar^2 n^2} + 3eEa_0$  into

$$\Psi_{+} = \frac{1}{\sqrt{2}} \left( \Psi_{200} - \Psi_{210} \right), \text{ with energy } \mathbf{E} = \frac{-mZe^{4}}{2\hbar^{2}n^{2}} + 3eEa_{0}$$
  
and  
$$\Psi_{-} = \frac{1}{\sqrt{2}} \left( \Psi_{200} + \Psi_{210} \right), \text{ with energy } \mathbf{E} = \frac{-mZe^{4}}{2\hbar^{2}n^{2}} - 3eEa_{0}$$

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