## Unifying the electroweak and B - L interactions

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We argue that the gauge symmetry which includes  $SU(3)_L$  as a higher weak-isospin symmetry is manifestly given by  $SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N$ , where the last two factors determine the electric charge and B - L, respectively. This theory not only provides a consistent unification of the electroweak and B - L interactions, but also gives insights in dark matter, neutrino masses, and inflation. The dark matter belongs to a class of new particles that have wrong B - L numbers, and is stabilized due to a newly realized W-parity as residual gauge symmetry. The B - L breaking field is important to define the W-parity, seesaw scales, and the inflaton. Furthermore, the number of fermion generations and the electric charge quantization are explained naturally. We also show that the previous 3-3-1 models are only an effective theory, as the B - L charge and the unitarity argument are violated. This work substantially generalizes our recently proposed 3-3-1-1 model.

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# I. INTRODUCTION

The standard model is incomplete, since it leaves many striking features of the physics of our world unanswered [1]. The leading questions perhaps include the neutrino masses, dark matter, matter-antimatter asymmetry, and cosmic inflation. The standard model also cannot explain why there are only three fermion generations and what makes the electric charges be quantized.

The most popular solutions for the observed small neutrino masses could be the seesaw mechanisms [2]. Interestingly, they also lead to leptogenesis processes that address the matter-antimatter asymmetry. The crucial keys of the type-I and type-II seesaw mechanisms are at the seesaw scales, which keep the small neutrino masses. However, at present they have been less understood. What is their origin? Which is the physics behind them? Can the seesaw scales be related? Further, the generation of the thermal dark matter relic density implies the existence of a weakly interacting massive particle (WIMP) [3]. Many simple extensions of the standard model provide WIMPs. But what is the WIMP's nature? Why is it stabilized? Can a WIMP that is bounded below some hundreds of TeV be correlated to the inflationary dynamics at the grand unification scale? Could the seesaw and inflationary scales be common?

As an attempt to address those questions, this work is a substantial generalization of a recently proposed  $SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N$  (3-3-1-1) gauge model [4]. We will strictly derive the 3-3-1-1 gauge symmetry, along with the introduction of the most general fermion content. For this aim, we start from  $SU(3)_L$ , a higher weak-isospin symmetry directly extended from  $SU(2)_L$ , which is best known for solving the number of observed fermion

generations. To preserve the electric charge, baryonnumber, and lepton-number symmetries, the complete gauge symmetry must be  $SU(3)_L \otimes U(1)_X \otimes U(1)_N$ [besides the  $SU(3)_C$  color group], where X and N define the electric charge and the baryon-minus-lepton number, respectively. The general fermion content is free from all the anomalies, where the most new fermions (including the non-Hermitian gauge bosons) have new, characteristic B - L quantum numbers.

The scalar sector is introduced, and the 3-3-1-1 symmetry breaking is discussed. The new model yields a discrete symmetry, called W-parity (although it is actually larger than  $Z_2$ ), as a remnant of the gauge symmetry, which separates the model particles into two classes, normal particles and wrong particles. The wrong particles transform nontrivially under the W-parity, and are only coupled in pairs in interactions, similarly to the superparticles in supersymmetry. The W-parity makes some wrong lepton or baryon particles stable, providing dark matter candidates. The neutrino masses are generated as a result of the gauge symmetry breaking, where the seesaw mechanisms are naturally realized. The model also provides an inflatons as the dynamics of B - L breaking as well as leptogenesis processes automatically. The hints of the electric charge quantization are shown. The gauge bosons are identified, and the corresponding constraints are given. The unitarity of the model as well as that of the previous theories is also investigated.

The rest of this work is organized as follows: In Sec. II we construct the model. Here, the dark matter, neutrino masses, and the quantization of charges are also discussed. Section III is devoted to the gauge bosons and some constraints. The unitarity is considered in Sec. IV. The cosmological inflation and leptogenesis are discussed in Sec. V. We summarize our results and conclude this work in Sec. VI.

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#### **II. PROPOSAL OF THE MODEL**

#### A. 3-3-1-1 symmetry and fermion content

The first observation is that in the standard model the  $[SU(2)_L]^3$  anomaly always vanishes,  $\text{Tr}[\{T_a, T_b\}T_c] = 0$ , for any chiral fermion representation, where  $T_a(a = 1, 2, 3)$  indicate  $SU(2)_L$  generators. Let  $SU(2)_L$  be enlarged to  $SU(3)_L$ , a higher weak-isospin symmetry. As a result, the corresponding anomaly  $[SU(3)_L]^3$  does not vanish,  $A_{ijk} \equiv \text{Tr}[\{T_i, T_j\}T_k] \neq 0$ , for complex representations, where  $T_i(i = 1, 2, 3, ..., 8)$  denote  $SU(3)_L$  generators. This subsequently gives constraints on the new fermion content [5]. The new gauge symmetry must span  $SU(3)_C \otimes SU(3)_L$ , where the first factor is the ordinary color group.

The fundamental representations of  $SU(3)_L$  are decomposed as  $3 = 2 \oplus 1$  and  $3^* = 2^* \oplus 1$  under  $SU(2)_L$ . Therefore, all the (left-handed) fermion doublets of  $SU(2)_L$  will be embedded into 3 or  $3^*$ , where for the latter the antidoublets take the form  $(f_2 - f_1)$ , provided that  $(f_1f_2)$  is a doublet. We also suppose that all the (right-handed) fermion singlets of  $SU(2)_L$  by themselves transform as corresponding singlets of  $SU(3)_L$ . Because of  $A_{ijk}(3^*) = -A_{ijk}(3)$ , the  $[SU(3)_L]^3$  anomaly is canceled out if the number of 3 is equal the number of  $3^*$  (where the color number must be appropriately counted). Therefore, the fermion representations under  $SU(3)_L$  are arranged as

$$\begin{split} \psi_{aL} &\equiv \begin{pmatrix} \nu_{aL} \\ e_{aL} \\ k_{aL} \end{pmatrix} \sim 3, \qquad Q_{3L} \equiv \begin{pmatrix} u_{3L} \\ d_{3L} \\ j_{3L} \end{pmatrix} \sim 3, \\ Q_{aL} &\equiv \begin{pmatrix} d_{aL} \\ -u_{aL} \\ j_{aL} \end{pmatrix} \sim 3^*, \end{split}$$
(1)

$$\nu_{aR}, e_{aR}, k_{aR}, u_{aR}, d_{aR}, j_{aR} \sim 1,$$
 (2)

where a = 1, 2, 3 and  $\alpha = 1, 2$  are generation indices, and  $\nu_{aR}, k_a, j_a$  are new particles, which are  $SU(2)_L$  singlets added to complete the representations.

As a matter of fact, we possibly have a special case where  $k_a$  are excluded (not needed). Instead, the third components of  $\psi_a$  (like the 1's in the above decompositions) can be assigned by either  $e_{aR}$  or  $\nu_{aR}$ , called minimal versions. Namely,  $k_{aR}$  are suppressed, while  $k_{aL}$  are replaced by either  $(e_{aR})^c$  or  $(\nu_{aR})^c$ , where "c" indicates the charge conjugation,  $(f_R)^c \equiv C\bar{f_R}^T = (f^c)_L$ , as usual. However, this does not work for the case of quarks, because  $SU(3)_L$ ,  $SU(3)_C$ , and the space-time symmetry commute. Hence, the introduction of  $j_a$  is necessary. Furthermore, the results obtained below generally apply for all cases. A direct consequence of the above proposal is that the number of

fermion generations must be equal to the fundamental color number [6,7].

Since  $k_a$  are unknown, let their electric charges be q. Furthermore, the electric charge operator Q does not commute and noncloses algebraically with  $SU(3)_L$ . Indeed, for a lepton triplet, we have Q = diag(0, -1, q), which is generally not commuted with  $T_i = \frac{1}{2}\lambda_i$  for i = 1, 2, 4, 5, 6, 7:

$$[Q, T_1 \pm iT_2] = \pm (T_1 \pm iT_2),$$
  

$$[Q, T_4 \pm iT_5] = \mp q(T_4 \pm iT_5),$$
  

$$[Q, T_6 \pm iT_7] = \mp (1+q)(T_6 \pm iT_7).$$
(3)

The algebraic noncloseness results from the fact that if Q is some generator of  $SU(3)_L$ , we have a combination  $Q = x_i T_i$ , which is invalid for  $u_R$ ,  $d_R$ , even for some triplets/antitriplets, since TrQ = 0. In other words, Q and  $T_i$  by themselves do not make a symmetry.

To have a closed algebra, we introduce an Abelian charge *X* so that *Q* is a residual charge of the closed group  $SU(3)_L \otimes U(1)_X$ , i.e.,  $Q = x_iT_i + yX$ . With *Q* acting on a lepton triplet, we obtain

$$Q = T_3 + \beta T_8 + X, \tag{4}$$

where  $\beta = -(1+2q)/\sqrt{3}$ , and the weak hypercharge is identified as  $Y = \beta T_8 + X$ . The electric charges of  $j_a$  are easily obtained:  $Q(j_3) = \frac{2}{3} + q$  and  $Q(j_a) = -\frac{1}{3} - q$ . Remark: since  $T_{3,8}$  are gauged charges, Q and X must be gauged charges. This is a consequence of the noncommutation of Q and  $SU(3)_L$ . At this stage, we conclude that the gauge symmetry of the theory must span  $SU(3)_C \otimes SU(3)_L \otimes U(1)_X$ . It has been extensively studied in the literature [8,9].

Since  $k_a$  are unknown, let their B - L charges be n. We also assume that B - L is conserved, which is actually approved by the standard model and experiments [1]. Similarly to Q, we can show that B - L does not commute and noncloses algebraically with  $SU(3)_L$ , which differs from the standard model. Indeed, for a lepton triplet, B - L = diag(-1, -1, n), and we have

$$[B - L, T_4 \pm iT_5] = \mp (1 + n)(T_4 \pm iT_5),$$
  
$$[B - L, T_6 \pm iT_7] = \mp (1 + n)(T_6 \pm iT_7), \qquad (5)$$

which nonvanish since *n* can in principle be arbitrary. Even for the minimal versions aforementioned, the noncommutation is explicitly hinted due to n = 1, thus  $1 + n \neq 0$ . Also, if B - L is algebraically closed with  $SU(3)_L$ , it yields  $B - L = a_i T_i$  which is incorrect for the right-handed fermions as well as for some triplets/antitriplets due to Tr(B - L) = 0. Therefore, an Abelian charge N must be imposed so that B - L is a residual charge of  $SU(3)_L \otimes U(1)_N$ ,  $B - L = a_i T_i + bN$ . Acting on a lepton triplet, it follows

$$B - L = \beta' T_8 + N, \tag{6}$$

where  $\beta' = -2(1+n)/\sqrt{3}$ . The B-L charges of  $j_a$  are easily identified:  $[B-L](j_3) = \frac{4}{3} + n$  and  $[B-L](j_\alpha) = -\frac{2}{3} - n$ . Similarly to Q and X, the charges B-L and Nmust be gauged, because  $T_8$  is gauged, which is a consequence of the B-L and  $SU(3)_L$  noncommutation. Note that N cannot be identified as X, since they generally differ for the right-handed fermions and for the triplets/ antitriplets. Hence, they are independent charges as the charges B-L and Q are.

To summarize, the gauge symmetry of the theory is manifestly given as

$$SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N,$$
 (7)

which is called 3-3-1-1 for short. It is noteworthy that the new weak-isospin theory,  $SU(3)_L$ , contains two conserved, noncommutative charges, Q and B - L, and their algebraic closure yields the 3-3-1-1 gauge model, which describes the strong, electroweak, and B - L interactions. Interestingly enough, the last two interactions (electroweak and B - L) are unified in the same manner as those in the electroweak theory. In Appendix A, we present another approach which comes to the same conclusion regarding the 3-3-1-1 gauge symmetry.

The fermion multiplets possess the following quantum numbers:

$$\begin{split} \psi_{aL} &\sim \left(1, 3, \frac{-1+q}{3}, \frac{-2+n}{3}\right), \qquad Q_{3L} \sim \left(3, 3, \frac{1+q}{3}, \frac{2+n}{3}\right), \qquad Q_{aL} \sim \left(3, 3^*, -\frac{q}{3}, -\frac{n}{3}\right), \\ \nu_{aR} &\sim (1, 1, 0, -1), \qquad e_{aR} \sim (1, 1, -1, -1), \qquad k_{aR} \sim (1, 1, q, n), \qquad u_{aR} \sim \left(3, 1, \frac{2}{3}, \frac{1}{3}\right), \\ d_{aR} &\sim \left(3, 1, -\frac{1}{3}, \frac{1}{3}\right), \qquad j_{3R} \sim \left(3, 1, \frac{2}{3} + q, \frac{4}{3} + n\right), \qquad j_{aR} \sim \left(3, 1, -\frac{1}{3} - q, -\frac{2}{3} - n\right), \end{split}$$
(8)

which are given upon the 3-3-1-1 gauge symmetries. The fermion content as given is free from all the anomalies. Indeed, we are concerned with the following nontrivial anomalies:  $[SU(3)_C]^2U(1)_X$ ,  $[SU(3)_C]^2U(1)_N$ ,  $[SU(3)_L]^2U(1)_X$ ,  $[Gravity]^2U(1)_X$ ,  $[Gravity]^2U(1)_N$ ,  $[U(1)_X]^2U(1)_N$ ,  $U(1)_X[U(1)_N]^2$ ,  $[U(1)_X]^3$ , and  $[U(1)_N]^3$ , which are potentially troublesome. They are verified in Appendix B. Here, note that  $\nu_{aR}$  as included from the outset are to cancel the gravity anomaly  $[Gravity]^2U(1)_N$  as well as the self-anomaly  $[U(1)_N]^3$ .

A direct consequence of this note is that the often studied 3-3-1 models are only self-consistent if they include B - L, and thus  $U(1)_N$ , as a gauge symmetry. Otherwise, the 3-3-1 models are only effective theories at a low-energy scale as often given in TeV range, for which B - L acts as an approximate symmetry. And the corresponding interactions that explicitly violate B - L must be present, in order for the 3-3-1 models to survive. All these will be proved in the next section, by verifying the unitarity argument of the current model and the 3-3-1 models.

# B. Scalar sector, symmetry breaking, and W-parity

To break the 3-3-1-1 symmetry and generate the correct masses for the particles, we introduce the following scalars:

$$\eta = \begin{pmatrix} \eta_1^{0,0} \\ \eta_2^{-1,0} \\ \eta_3^{q,n+1} \end{pmatrix} \sim \left(1, 3, \frac{q-1}{3}, \frac{n+1}{3}\right), \qquad (9)$$

$$\rho = \begin{pmatrix} \rho_1^{1,0} \\ \rho_2^{0,0} \\ \rho_3^{q+1,n+1} \end{pmatrix} \sim \left(1,3,\frac{q+2}{3},\frac{n+1}{3}\right), \quad (10)$$

$$\chi = \begin{pmatrix} \chi_1^{-q,-n-1} \\ \chi_2^{-q-1,-n-1} \\ \chi_3^{0,0} \end{pmatrix} \sim \left(1,3,-\frac{2q+1}{3},-\frac{2}{3}(n+1)\right), \quad (11)$$

$$\phi \sim (1, 1, 0, 2), \tag{12}$$

where the superscripts denote (Q, B - L) values respectively, while the subscripts indicate component fields under  $SU(3)_L$ . The scalars have such quantum numbers since  $\eta$ ,  $\rho$ ,  $\chi$  couple a left-handed fermion to a corresponding righthanded fermion, whereas  $\phi$  couples to  $\nu_R \nu_R$  (as explicitly shown in the Yukawa Lagrangian below). Because Q is conserved, only the electrically neutral components  $\eta_1$ ,  $\rho_2$ ,  $\chi_3$ ,  $\phi$  can develop vacuum expectation values (VEVs), given by

$$\langle \eta \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ 0 \\ 0 \end{pmatrix}, \qquad \langle \rho \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \\ 0 \end{pmatrix},$$

$$\langle \chi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ w \end{pmatrix}, \qquad \langle \phi \rangle = \frac{1}{\sqrt{2}} \Lambda.$$

$$(13)$$

The 3-3-1-1 symmetry is broken down to  $SU(3)_C \otimes U(1)_Q \otimes U(1)_{B-L}$  due to u, v, w. Here, it undergoes two stages: the 3-3-1-1 symmetry to  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \otimes U(1)_{B-L}$  due to w, then  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \otimes U(1)_{B-L}$  to  $SU(3)_C \otimes U(1)_Q \otimes U(1)_{B-L}$  due to u, v. Note that u, v, w break only N, not B - L. Further,  $\Lambda$  breaks B - L, or N totally, since it also breaks N in the previous stages, to a discrete symmetry,  $U(1)_{B-L} \to P$  (shown below). In contrast to Q, the B - L charge must be broken, since its corresponding gauge boson should have a large mass to escape from the detection. In summary, the gauge symmetry is broken as follows:

$$SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N$$
$$\stackrel{u,v,w,\Lambda}{\to} SU(3)_C \otimes U(1)_Q \otimes P.$$
(14)

The VEVs w,  $\Lambda$  provide the masses for the new particles, whereas u, v are for those of the ordinary particles. To keep consistency with the standard model, we assume  $u, v \ll w, \Lambda$ .

The charge  $B - L = \beta' T_8 + N$  is the residual symmetry of  $SU(3)_L \otimes U(1)_N$ , since  $[B - L]\langle \eta \rangle = [B - L]\langle \rho \rangle = [B - L]\langle \chi \rangle = 0$  for  $u, v, w \neq 0$ . It transforms component fields/particles ( $\Phi$ ) as

$$\Phi \to \Phi' = U(\omega)\Phi, \qquad U(\omega) = e^{i\omega(B-L)},$$
 (15)

where  $\omega$  is a transforming parameter. Further, B-Lis broken by  $\langle \phi \rangle$ , since  $[B-L]\langle \phi \rangle = \sqrt{2}\Lambda \neq 0$ . Its remnant will conserve the vacuum,  $U(\omega)\langle \phi \rangle = \langle \phi \rangle$ , i.e.,  $e^{i2\omega} = 1$ , and thus  $\omega = m\pi$  for  $m = 0, \pm 1, \pm 2, ...$  We identify  $P = e^{i\omega(B-L)} = e^{im\pi(B-L)} = (-1)^{m(B-L)}$ . Among such survival transformations, consider m = 3, thus  $P = (-1)^{3(B-L)}$ , called matter parity. In addition, P can be rewritten in a convenient form,

$$P = (-1)^{3(B-L)+2s},\tag{16}$$

when multiplying the spin parity  $(-1)^{2s}$ , which is always conserved due to the angular momentum conservation. This is commonly known as *R*-parity, but in our case it results as a remnant of the gauge symmetry, given by

$$P = (-1)^{3(\beta' T_8 + N) + 2s}.$$
(17)

If  $k_a$  have ordinary B - L numbers like those of the standard model,  $n = \frac{2m-1}{3} = \pm \frac{1}{3}, \pm 1, \pm \frac{5}{3}, \ldots$ , the equation above yields P = 1 for all the fields of the model, which is trivial. The minimal versions belong to this case. However, since  $k_a$  are new particles, we generally assume that n is arbitrarily different from the ordinary ones,  $n \neq \frac{2m-1}{3}$ . Hence, the parity P divides the model particles into two classes:

- Normal particles: P = 1. Include the standard model particles and some new ones: ν, e, u, d, γ, W, Z, Z', Z'', η<sub>1,2</sub>, ρ<sub>1,2</sub>, χ<sub>3</sub>, φ. They have ordinary B L numbers (or differ from these by even units as φ does), similarly to those of the standard model. They are even particles since P = 1, as displayed in Table I.
- (2) Wrong particles: P = P<sup>+</sup> or P<sup>-</sup>, where P<sup>±</sup> ≡ (-1)<sup>±(3n+1)</sup>. All the remaining particles, k, j, X, Y, η<sub>3</sub>, ρ<sub>3</sub>, χ<sub>1,2</sub>, have incorrect (wrong) B L numbers, in comparison to those of the standard model. They have a parity value of either P<sup>+</sup> or P<sup>-</sup>, which is nontrivial due to P<sup>±</sup> ≠ 1, as shown in Table I. Specially, the wrong particles become odd particles, i.e., P = P<sup>+</sup> = P<sup>-</sup> = -1, provided that n = 2m/3 = 0, ±2/3, ±4/3, ±2, ....

Therefore, a few remarks are in order:

- (1) *P* is called *W*-parity, which distinguishes the wrong particles, called *W*-particles, from the normal (even) particles.
- (2) Since *P* is conserved, the *W*-particles are only coupled in pairs in interactions, which is analogous to superparticles in supersymmetry. Indeed, considering an interaction of r + s *W*-fields, the *P* conservation implies  $(P^+)^r (P^-)^s = 1$ , where *r*, *s* are integer, which happens if and only if r = s. The  $P^+$  and  $P^-$  fields always appear in pairs.
- (3) Since *P* is conserved, the lightest *W*-particle (LWP) is stabilized, which can be a dark matter candidate. The candidate must be electrically neutral, thus we have two dark matter models: (i) Model with q = 0:

TABLE I. The Q, B - L charges and W-parity values for the model particles. The corresponding antiparticles have opposite Q and B - L charges, and W-parity conjugated.

| Particle | $\nu_a$ | e <sub>a</sub> | <i>u</i> <sub>a</sub> | $d_a$          | γ | W | Ζ | Z' | Z'' | $\eta_{1,2}$ | $\rho_{1,2}$ | χ3 | φ | $k_a$ | jα               | $j_3$             | X      | Y      | $\eta_3$ | $\rho_3$ | X1,2       |
|----------|---------|----------------|-----------------------|----------------|---|---|---|----|-----|--------------|--------------|----|---|-------|------------------|-------------------|--------|--------|----------|----------|------------|
| Q        | 0       | -1             | $\frac{2}{3}$         | $-\frac{1}{3}$ | 0 | 1 | 0 | 0  | 0   | 0, -1        | 1,0          | 0  | 0 | q     | $-\frac{1}{3}-q$ | $\frac{2}{3} + q$ | -q     | -1 - q | q        | 1+q      | -q, -1 - q |
| B - L    | -1      | -1             | $\frac{1}{3}$         | $\frac{1}{3}$  | 0 | 0 | 0 | 0  | 0   | 0            | 0            | 0  | 2 | п     | $-\frac{2}{3}-n$ | $\frac{4}{3} + n$ | -1 - n | -1 - n | 1 + n    | 1 + n    | -1 - n     |
| Р        | 1       | 1              | 1                     | 1              | 1 | 1 | 1 | 1  | 1   | 1            | 1            | 1  | 1 | $P^+$ | $P^-$            | $P^+$             | $P^-$  | $P^-$  | $P^+$    | $P^+$    | $P^-$      |

the candidates are a fermion (as some combination of  $k_a$ ), a gauge boson ( $X_\mu$ ), and a scalar (as some combination of  $\eta_3$  and  $\chi_1$ ); (ii) Model with q = -1: the candidates are a gauge boson ( $Y_\mu$ ) and a scalar (as some combination of  $\rho_3$  and  $\chi_2$ ).

(4) Since P is conserved, the W-scalars, if electrically neutral, cannot develop VEVs. The VEVs as given above are unique. Also, there is no mixing between the W-particles and the normal particles if they have the same electric charge. Here, the possible mixings are between exotic quarks and ordinary quarks as well as between new non-Hermitian gauge bosons and ordinary gauge bosons including Z', Z". Consequently, the dangerous tree-level flavor-changing neutral currents and CP asymmetries due to such mixings are suppressed.

# C. Total Lagrangian, fermion masses, and electric charge quantization

The total Lagrangian, up to the gauge fixing and ghost terms, is given by

$$\mathcal{L} = \sum_{\text{fermion multiplets}} \bar{F} i \gamma^{\mu} D_{\mu} F + \sum_{\text{scalar multiplets}} (D^{\mu} S)^{\dagger} (D_{\mu} S)$$
$$- \frac{1}{4} G_{i\mu\nu} G_{i}^{\mu\nu} - \frac{1}{4} A_{i\mu\nu} A_{i}^{\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{1}{4} C_{\mu\nu} C^{\mu\nu}$$
$$+ \mathcal{L}_{\text{Yukawa}} - V(\eta, \rho, \chi, \phi), \qquad (18)$$

where the covariant derivative and field strength tensors are defined as

$$D_{\mu} = \partial_{\mu} + ig_s t_i G_{i\mu} + igT_i A_{i\mu} + ig_X X B_{\mu} + ig_N N C_{\mu}, \qquad (19)$$

$$G_{i\mu\nu} = \partial_{\mu}G_{i\nu} - \partial_{\nu}G_{i\mu} - g_s f_{ijk}G_{j\mu}G_{k\nu}, \qquad (20)$$

$$A_{i\mu\nu} = \partial_{\mu}A_{i\nu} - \partial_{\nu}A_{i\mu} - gf_{ijk}A_{j\mu}A_{k\nu}, \qquad (21)$$

$$B_{\mu\nu} = \partial_{\mu}B_{\nu} - \partial_{\nu}B_{\mu}, \qquad C_{\mu\nu} = \partial_{\mu}C_{\nu} - \partial_{\nu}C_{\mu}, \quad (22)$$

where  $\{g_s, g, g_X, g_N\}$ ,  $\{t_i, T_i, X, N\}$ , and  $\{G_i, A_i, B, C\}$  are coupling constants, generators, and gauge bosons of the 3-3-1-1 groups, respectively, and  $f_{ijk}$  are SU(3) structure constants.

The Yukawa Lagrangian and scalar potential are obtained by

$$\mathcal{L}_{\text{Yukawa}} = h^{\nu}_{ab} \bar{\psi}_{aL} \eta \nu_{bR} + h^{e}_{ab} \bar{\psi}_{aL} \rho e_{bR} + h^{k}_{ab} \bar{\psi}_{aL} \chi k_{bR} + h^{\prime \nu}_{ab} \bar{\nu}^{c}_{aR} \nu_{bR} \phi + h^{\prime }_{33} \bar{Q}_{3L} \chi j_{3R} + h^{\prime }_{a\beta} \bar{Q}_{aL} \chi^{*} j_{\beta R} + h^{u}_{3a} \bar{Q}_{3L} \eta u_{aR}$$
$$+ h^{u}_{aa} \bar{Q}_{aL} \rho^{*} u_{aR} + h^{d}_{3a} \bar{Q}_{3L} \rho d_{aR} + h^{d}_{aa} \bar{Q}_{aL} \eta^{*} d_{aR} + \text{H.c.},$$
(23)

$$V(\eta, \rho, \chi, \phi) = \mu_1^2 \eta^{\dagger} \eta + \mu_2^2 \rho^{\dagger} \rho + \mu_3^2 \chi^{\dagger} \chi + \mu_4^2 \phi^{\dagger} \phi + \lambda_1 (\eta^{\dagger} \eta)^2 + \lambda_2 (\rho^{\dagger} \rho)^2 + \lambda_3 (\chi^{\dagger} \chi)^2 + \lambda_4 (\phi^{\dagger} \phi)^2 + \lambda_5 (\eta^{\dagger} \eta) (\rho^{\dagger} \rho) + \lambda_6 (\eta^{\dagger} \eta) (\chi^{\dagger} \chi) + \lambda_7 (\rho^{\dagger} \rho) (\chi^{\dagger} \chi) + \lambda_8 (\phi^{\dagger} \phi) (\eta^{\dagger} \eta) + \lambda_9 (\phi^{\dagger} \phi) (\rho^{\dagger} \rho) + \lambda_{10} (\phi^{\dagger} \phi) (\chi^{\dagger} \chi) + \lambda_{11} (\eta^{\dagger} \rho) (\rho^{\dagger} \eta) + \lambda_{12} (\eta^{\dagger} \chi) (\chi^{\dagger} \eta) + \lambda_{13} (\rho^{\dagger} \chi) (\chi^{\dagger} \rho) + (\mu \eta \rho \chi + \text{H.c.}),$$
(24)

where the Yukawa couplings *h* and the scalar couplings  $\lambda$  are dimensionless, while  $\mu_{1,2,3,4}$  and  $\mu$  have the mass dimension.

When the scalars develop VEVs, the fermions obtain masses. Conventionally, we write Dirac mass terms as  $-\bar{f}_L m_f f_R + \text{H.c.}$  and Majorana mass terms as  $-\frac{1}{2}\bar{f}_{L,R}^c m_f^{L,R} f_{L,R} + \text{H.c.}$  The new fermions  $k_a$  and  $j_a$  possess  $[m_k]_{ab} = -h_{ab}^k \frac{w}{\sqrt{2}}$ ,  $[m_j]_{ab} = -h_{ab}^j \frac{w}{\sqrt{2}}$ , with  $h_{3a}^j = h_{a3}^j = 0$ , which all have masses in w scale. The masses of  $e_a$ ,  $u_a$ , and  $d_a$  are given by  $[m_e]_{ab} = -h_{ab}^e \frac{v}{\sqrt{2}}$ , and  $[m_u]_{3a} = -h_{3a}^u \frac{u}{\sqrt{2}}$ ,  $[m_u]_{\alpha a} = h_{\alpha a}^u \frac{v}{\sqrt{2}}$ ,  $[m_d]_{3a} = -h_{aa}^d \frac{v}{\sqrt{2}}$ , and  $[m_d]_{\alpha a} = -h_{aa}^d \frac{u}{\sqrt{2}}$ . Therefore, the ordinary charged leptons

and quarks gain the masses in the weak scales u, v, as usual. For the neutrinos, including the standard model  $\nu_{aL}$  and their counterpart  $\nu_{aR}$ , we have Dirac masses  $[m_{\nu}]_{ab} = -h_{ab}^{\nu} \frac{u}{\sqrt{2}}$  and Majorana masses  $[m_{\nu}^{R}]_{ab} = -\sqrt{2}h'_{ab}^{\nu}\Lambda$ . Because of  $u \ll \Lambda$ , the observed neutrinos ( $\sim \nu_{aL}$ ) obtain masses via a type-I seesaw mechanism, given by  $m_{\nu}^{L} \simeq -m_{\nu}(m_{\nu}^{R})^{-1}(m_{\nu})^{T} \sim u^{2}/\Lambda$ , which is naturally small, whereas the heavy neutrinos ( $\sim \nu_{aR}$ ) have masses  $m_{\nu}^{R}$  as retained.

Indeed, such tiny masses for the neutrinos can be perturbatively (or dynamically) generated via a tree-level diagram in Fig. 1, attached by three external Higgs fields  $\eta$ ,  $\phi$ ,  $\eta$  with two respective internal lines  $\nu_R$ ,  $\nu_R^c$ , when the

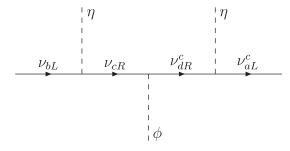


FIG. 1. Improved canonical seesaw mechanism for neutrino masses.

electroweak and B - L breakings happen, correspondingly determined by  $\langle \eta \rangle$  and  $\langle \phi \rangle$ . The Majorana masses of  $\nu_{aR}$  are also generated due to their interaction with  $\phi$  (as the middle part in the Fig. 1 graph) and the B - L breaking by  $\langle \phi \rangle$ . The new observation is that the 3-3-1-1 symmetry suppresses all the neutrino mass types, but the electroweak and B - Lbreakdown, it provides consistent masses for the neutrinos via such a generalized Higgs mechanism. The type-I seesaw mechanism is naturally recognized in this framework because it contains  $\nu_{aR}$  as fundamental fermion constituents, and the Majorana masses are induced due to the B - L gauge symmetry breaking. Further, this also works for a type-II seesaw mechanism as mediated by a hypothetical scalar sextet (if one includes it) that couples to  $\psi_{aL}\psi_{bL}$  and to  $\eta\eta\phi^*$ . This contribution is just  $\sim u^2/\Lambda$ , as the type-I one is, since the sextet mass is set by  $\Lambda$  scale. Both the mechanisms are correlated as achieved by the same symmetry-breaking sources  $\Lambda$  and u.

The standard model does not predict the electric charge quantization because of  $Q = T_3 + Y$ , where the values of  $T_3$  are quantized due to the non-Abelian nature of  $SU(2)_L$  algebra, whereas the values of Y are completely arbitrary. It is only chosen to describe the observed charges; it does not explain them. The grand unified theories solve this issue, since both  $T_3$  and Y are embedded in simple groups, and thus the values of Y are constrained due to the algebra structure. Our model provides an alternative solution, which is again due to the B - L dynamics. For some pioneering works on the electric charge quantization, see Ref. [10].

The electric charge operator is given by  $Q = T_3 + \beta T_8 + X$ , where  $T_{3,8}$  are quantized due to the  $SU(3)_L$  algebra structure. Therefore, Q is quantized if X for all multiplets is fixed. The ingredients in Ref. [7] are convenient for discussing further. First of all, the X-charges of  $\eta$ ,  $\rho$ ,  $\chi$ , and  $\phi$  are constrained by  $Q\langle \eta \rangle = Q\langle \rho \rangle =$  $Q\langle\chi\rangle = Q\langle\phi\rangle = 0$  because Q is conserved. This gives  $X_{\phi} = 0$ , while  $X_{\eta,\rho,\chi}$  depend on  $\beta$ . The Yukawa Lagrangian is invariant under  $U(1)_x$ , which yields that all the right-handed fermions have X-charges related to those of the corresponding left-handed fermions and scalars. Also, the flavors  $\psi_{aL}$  have the same X-charge, i.e.,  $X_{\psi_{1L}} = X_{\psi_{2L}} = X_{\psi_{3L}} \equiv X_{\psi_L}$ , and this applies for other

repetitive flavors such as  $\nu_{aR}$ ,  $e_{aR}$ ,  $k_{aR}$ ,  $Q_{\alpha L}$ ,  $u_{aR}$ ,  $d_{aR}$ , and  $j_{aR}$ , correspondingly. We denote  $X_{f_{1R}} = X_{f_{2R}} = X_{f_{3R}} \equiv X_{f_R}$   $(f = \nu, e, k, u, d)$ ,  $X_{Q_{1L}} = X_{Q_{2L}} \equiv X_{Q_{aL}}$ , and  $X_{j_{1R}} = X_{j_{2R}} \equiv X_{j_{aR}}$ . At this stage, we see that the charge of  $Q_{3L}$  is related to that of  $Q_{\alpha L}$ . Specially, we have the socalled quantization condition  $X_{\nu_R} = -\frac{1}{2}X_{\phi} = 0$  due to the unique interaction of  $\phi$  to  $\nu_R \nu_R$ . This leads to  $X_{\psi_L} = X_{\eta}$  as fixed. The  $[SU(3)_L]^2 U(1)_X$  anomaly cancellation gives  $X_{Q_{aL}}$  related to  $X_{\psi_L}$  as fixed. Therefore, all X-charges are constrained, of which most depend on  $\beta$ . Substituting into the electric charge operator, the ordinary particles have electric charges as observed, while the new particles have electric charges depending on  $\beta$ , i.e., q—the electric charge of  $k_a$ . Note that the electric charges of gauge bosons are always independent of X and are either zero or fixed by  $T_{3.8}$ . If there is no  $\nu_R \nu_R \phi$  interaction, the X-charges are unfixed, which means they are left as free parameters. Therefore, the B - L dynamics is crucial to obtaining the quantization of charges. The minimal versions have a different quantization condition [7].

Let us stress that the above ingredient (i.e., this model) explains only the electric charge quantization of ordinary particles. For the new particles, such as  $k_a$ ,  $j_a$ ,  $j_3$ , X and Y bosons, and so on, their electric charges are not quantized, since q (or  $\beta$ ) is arbitrary.

#### **III. GAUGE BOSONS AND CONSTRAINTS**

The mass Lagrangian of the gauge bosons is given by  $\sum_{S=\eta,\rho_{\mathcal{X}},\phi} (D^{\mu}\langle S \rangle)^{\dagger} (D_{\mu}\langle S \rangle)$ . We see that the gluons are always massless. The non-Hermitian gauge bosons W, X, and Y, which have been identified in Appendix A, are physical particles with the corresponding masses

$$m_W^2 = \frac{g^2}{4} (u^2 + v^2), \qquad m_X^2 = \frac{g^2}{4} (w^2 + u^2),$$
  
$$m_Y^2 = \frac{g^2}{4} (w^2 + v^2). \tag{25}$$

Here, X and Y are new gauge bosons, having large masses in w scale, due to  $w \gg u, v$ . The field W is identified as that of the standard model, which implies  $u^2 + v^2 = (246 \text{ GeV})^2$ . The neutral gauge bosons  $A_3, A_8$ , B, and C mix by themselves. However, it is easy to determine the photon, Z boson, and new  $\mathcal{Z}'$ , given by

$$A = s_{W}A_{3} + c_{W}\left(\beta t_{W}A_{8} + \sqrt{1 - \beta^{2} t_{W}^{2}}B\right), \quad (26)$$

$$Z = c_W A_3 - s_W \left(\beta t_W A_8 + \sqrt{1 - \beta^2 t_W^2} B\right), \quad (27)$$

$$\mathcal{Z}' = \sqrt{1 - \beta^2 t_W^2} A_8 - \beta t_W B, \qquad (28)$$

where  $s_W = e/g = t_X/\sqrt{1 + (1 + \beta^2)t_X^2}$ , with  $t_X = g_X/g$ , is the sine of the Weinberg angle [11]. Here,  $\mathcal{Z}'$  is

orthogonal to the field in the parentheses (i.e., to both *A* and *Z*) that is coupled to the hypercharge  $Y = \beta T_8 + X$ , while *C* is orthogonal to all *A*, *Z*, and Z'.

The photon *A* is massless and decoupled (i.e., a physical particle) [11], while *Z*, Z', and *C* mix. However, the mixing of *Z* with the new Z' and *C* is negligible due to the  $\{u^2, v^2\}/\{w^2, \Lambda^2\}$  suppressions. Hence, the *Z* boson can be considered as a physical particle with mass

$$m_Z^2 \simeq \frac{g^2}{4c_W^2} (u^2 + v^2),$$
 (29)

which is identical to that of the standard model. The fields  $\mathcal{Z}'$  and *C* finitely mix via a mass matrix as obtained by

$$\begin{pmatrix} m_{\mathcal{Z}'}^2 & m_{\mathcal{Z}'C}^2 \\ m_{\mathcal{Z}'C}^2 & m_C^2 \end{pmatrix},$$
 (30)

where we have denoted  $t_N = g_N/g$ , and

$$m_{\mathcal{Z}'}^2 = \frac{g^2 w^2}{3(1 - \beta^2 t_W^2)}, \qquad m_{\mathcal{Z}'C}^2 = -\frac{g^2 t_N \beta' w^2}{3\sqrt{1 - \beta^2 t_W^2}}, m_C^2 = 4g^2 t_N^2 \Lambda^2 + \frac{1}{3}g^2 t_N^2 \beta'^2 w^2.$$
(31)

The  $\mathcal{Z}' - C$  mixing angle is defined as

$$t_{2\xi} = \frac{2m_{Z'C}^2}{m_C^2 - m_{Z'}^2} = \frac{-2t_N\beta'\sqrt{1 - \beta^2 t_W^2}w^2}{12t_N^2(1 - \beta^2 t_W^2)\Lambda^2 + [t_N^2\beta'^2(1 - \beta^2 t_W^2) - 1]w^2}.$$
(32)

Therefore, the new neutral gauge bosons are

$$Z' = c_{\xi} \mathcal{Z}' - s_{\xi} C, \qquad Z'' = s_{\xi} \mathcal{Z}' + c_{\xi} C, \qquad (33)$$

with corresponding masses

$$m_{Z',Z''}^2 = \frac{1}{2} \left[ m_{Z'}^2 + m_C^2 \mp \sqrt{(m_{Z'}^2 - m_C^2)^2 + 4m_{Z'C}^4} \right].$$
(34)

We rewrite  $s_W^2 = \frac{g_X^2}{g^2 + (1+\beta^2)g_X^2} < \frac{1}{1+\beta^2}$ . The model may encounter a Landau pole (*M*) at which  $s_W^2(M) = \frac{1}{1+\beta^2}$  or  $g_X(M) = \infty$ . Hence, the model is consistent only if *M* is larger than *w*,  $\Lambda$ , and certainly it is larger than the weak scales *u*, *v*. We have a corresponding relation,  $s_W^2(M) > s_W^2(u, v)$ , since  $g_X/g$  increases when the energy scale increases, which yields  $|\beta| < \cot_W(u, v) \approx 1.82455$ [for  $s_W^2(u, v) \approx 0.231$ ]. With the aid of  $\beta = -\frac{1+2g}{\sqrt{3}}$ , we have -2.08011 < q < 1.08011. Therefore, the charge of  $k_a$  is very constrained, and its bounds are very close to -2 and 1, respectively. Demanding that q be an integer yields q = -2, -1, 0, 1. As a matter of fact, the model presents a low Landau pole of a few TeV for the bounds q = 1 or -2 (see also Ref. [12]).

The quark flavors are nonuniversal under  $SU(3)_L \otimes U(1)_X \otimes U(1)_N$  gauge symmetry because one generation of quarks transforms differently from the two others, so there are FCNCs. Indeed, using  $X = Q - T_3 - \beta T_8$  and  $N = B - L - \beta' T_8$ , the interaction of neutral currents is given by

$$\mathcal{L}_{\rm NC} = -g\bar{F}\gamma^{\mu}[T_3A_{3\mu} + T_8A_{8\mu} + t_X(Q - T_3 - \beta T_8)B_{\mu} + t_N(B - L - \beta'T_8)C_{\mu}]F,$$
(35)

where *F* runs over all fermion multiplets of the model. It is easily realized that the leptons ( $\nu_a$ ,  $e_a$ ,  $k_a$ ) and exotic quarks ( $j_a$ ,  $j_3$ ) do not flavor-change. Also, the terms that contain  $T_3$ , *Q*, and B - L do not lead to flavor changing. The relevant part is

$$\mathcal{L}_{\rm NC} \supset -g\bar{q}_L \gamma^{\mu} T_{8q} q_L (A_{8\mu} - \beta t_X B_{\mu} - \beta' t_N C_{\mu}) = -\bar{q}_L \gamma^{\mu} T_{8q} q_L (g' Z'_{\mu} + g'' Z''_{\mu}),$$
(36)

where  $g' \equiv g(c_{\xi}/\sqrt{1-\beta^2 t_W^2}+s_{\xi}\beta' t_N)$ ,  $g'' \equiv g(s_{\xi}/\sqrt{1-\beta^2 t_W^2}-c_{\xi}\beta' t_N)$ , and the field q indicates all ordinary quarks of either up type  $q = (u_1, u_2, u_3)$  or down type  $q = (d_1, d_2, d_3)$ , with the corresponding  $T_8$  values,  $T_{8q} = \frac{1}{2\sqrt{3}} \text{diag}(-1, -1, 1)$ . We change to mass basis,  $q_{L,R} = V_{qL,qR}q'_{L,R}$ , where q' is either q' = (u, c, t) or q' = (d, s, b), and

$$\mathcal{L}_{\rm NC} \supset -\bar{q}'_L \gamma^{\mu} (V^{\dagger}_{qL} T_{8q} V_{qL}) q'_L (g' Z'_{\mu} + g'' Z''_{\mu}),$$
  
$$\supset -\frac{1}{\sqrt{3}} \bar{q}'_{iL} \gamma^{\mu} q'_{jL} (V^*_{qL})_{3i} (V_{qL})_{3j} (g' Z'_{\mu} + g'' Z''_{\mu}), \quad (37)$$

where the last one is FCNC Lagrangian, with  $i \neq j$ . This leads to the mixings of meson systems as described by the effective Lagrangian,

$$\mathcal{L}_{\text{FCNC}}^{\text{eff}} = \frac{1}{3} (\bar{q}'_{iL} \gamma^{\mu} q'_{jL})^2 [(V_{qL}^*)_{3i} (V_{qL})_{3j}]^2 \left( \frac{g'^2}{m_{Z'}^2} + \frac{g''^2}{m_{Z''}^2} \right).$$
(38)

A strong bound comes from the  $K^0 - \bar{K}^0$  mixing, which constrains [1]

$$\frac{1}{3} [(V_{dL}^*)_{31} (V_{dL})_{32}]^2 \left(\frac{g'^2}{m_{Z'}^2} + \frac{g''^2}{m_{Z''}^2}\right) < \frac{1}{(10^4 \text{ TeV})^2}.$$
 (39)

Assuming that the up-type quarks are flavor diagonal, i.e.,  $V_{uL} = 1$ , the CKM matrix is just  $V_{dL}$ . We have  $|(V_{dL}^*)_{31}(V_{dL})_{32}| \approx 3.6 \times 10^{-4}$  [1], and thus

$$\sqrt{\frac{g'^2}{m_{Z'}^2} + \frac{g''^2}{m_{Z''}^2}} < \frac{1}{2.078 \text{ TeV}},$$
(40)

which directly implies  $m_{Z'} > 2.078 \times g'$  TeV and  $m_{Z''} > 2.078 \times g''$  TeV. The new gauge bosons Z', Z'' are in the TeV range, provided that g', g'' are in unity order.

Another strong bound comes from the  $B_s^0 - \bar{B}_s^0$  mixing, given by [1]

$$\frac{1}{3} [(V_{dL}^*)_{32} (V_{dL})_{33}]^2 \left(\frac{g'^2}{m_{Z'}^2} + \frac{g''^2}{m_{Z''}^2}\right) < \frac{1}{(100 \text{ TeV})^2}.$$
 (41)

The CKM factor is  $|(V_{dL}^*)_{32}(V_{dL})_{33}| \simeq 3.9 \times 10^{-2}$ . Hence, we have

$$\sqrt{\frac{g'^2}{m_{Z'}^2} + \frac{g''^2}{m_{Z''}^2}} < \frac{1}{2.25 \text{ TeV}},$$
(42)

which leads to  $m_{Z'} > 2.25 \times g'$  TeV and  $m_{Z''} > 2.25 \times g''$  TeV, slightly larger than the corresponding bounds obtained from the neutral kaon mixing.

Further, without loss of generality, consider the first bound (40) for two cases [for the second bound (42), this can similarly be done]:

(1) Z'' is superheavy, i.e.,  $w \ll \Lambda$ . We have  $m_{Z'}^2 \simeq \frac{g^2 w^2}{3(1-\beta^2 t_W^2)}$ ,  $m_{Z''}^2 \simeq 4g^2 t_N^2 \Lambda^2$ , and  $\xi \simeq 0$ . The condition (40) becomes

$$\frac{1}{2.078 \text{ TeV}} > \sqrt{\frac{3}{w^2} + \frac{\beta'^2}{4\Lambda^2}} \simeq \frac{\sqrt{3}}{w} \to w > 3.6 \text{ TeV}.$$
(43)

This is the common bound often derived for the 3-3-1 models, which is independent of  $\beta$ —the class of the 3-3-1 models.

(2) Z'' is comparable in mass to Z', i.e.,  $w \sim \Lambda$ . The condition (40) leads to

$$\left(\frac{1}{2.078 \text{ TeV}}\right)^2 > \frac{2|g'g''|}{m_{Z'}m_{Z''}}$$
$$= \frac{6s_{2\xi}t_N\Lambda\sqrt{3(1-\beta^2 t_W^2)}}{w^3}.$$
 (44)

For simplicity, let us consider the  $\mathcal{Z}'-C$  mixing to be maximal, i.e.,  $\xi = \pi/4$  or  $\Lambda/w = \sqrt{1 - \beta'^2 t_N^2 (1 - \beta^2 t_W^2)}/[2t_N \sqrt{3(1 - \beta^2 t_W^2)}]$ . The constraint becomes

$$w > 3.6 \times [1 - \beta'^2 t_N^2 (1 - \beta^2 t_W^2)]^{1/4}$$
 TeV. (45)

We have w > 3.57 TeV and  $\Lambda = 1.8w$  for the charges of  $k_a$  as (q, n) = (1, 0), (-2, 0), and

w > 3.3 TeV and  $\Lambda = 0.5w$  for (q, n) = (0, 0). Here, we have taken  $t_N = 0.5$  and  $s_W^2 = 0.231$ .

Finally, let us investigate the LEPII bounds for the process  $e^+e^- \rightarrow f\bar{f}$ , where f is an ordinary fermion, due to the exchange of new neutral gauge bosons such as Z' and Z'' [13]. The effective Lagrangian is therefore given by

$$\mathcal{L}_{\text{LEPII}}^{\text{eff}} = \frac{g^2}{c_W^2 m_I^2} \left[ \bar{e} \gamma^\mu (a_L^I(e) P_L + a_R^I(e) P_R) e \right] \\ \times \left[ \bar{f} \gamma_\mu (a_L^I(f) P_L + a_R^I(f) P_R) f \right], \tag{46}$$

where I = Z', Z'' and  $a_{L,R}^{I}(f) = \frac{1}{2}[g_{V}^{I}(f) \pm g_{A}^{I}(f)].$ Particularly considering  $f = \mu$ , we have

$$\mathcal{L}_{\text{LEPII}}^{\text{eff}} = \frac{g^2}{c_W^2} \left( \frac{[a_L^{Z'}(e)]^2}{m_{Z'}^2} + \frac{[a_L^{Z''}(e)]^2}{m_{Z''}^2} \right) (\bar{e}\gamma^{\mu} P_L e) \\ \times (\bar{\mu}\gamma_{\mu} P_L \mu) + (LR) + (RL) + (RR), \quad (47)$$

where the last three terms differ from the first one only in chiral structures, and

$$a_{L}^{Z'}(e) = \frac{c_{W} + \sqrt{3\beta}s_{W}t_{W}}{2\sqrt{3}\sqrt{1 - \beta^{2}t_{W}^{2}}}c_{\xi} + t_{N}c_{W}\left(1 + \frac{\beta'}{2\sqrt{3}}\right)s_{\xi},$$
  

$$a_{R}^{Z'}(e) = \frac{\beta s_{W}t_{W}}{\sqrt{1 - \beta^{2}t_{W}^{2}}}c_{\xi} + t_{N}c_{W}s_{\xi},$$
  

$$a_{L,R}^{Z''}(e) = a_{L,R}^{Z'}(e)|_{c_{\xi} \to s_{\xi}, s_{\xi} \to -c_{\xi}}.$$
(48)

Taking the typical bound as derived for the B - L gauge boson from Ref. [13], we get

$$\frac{g^2}{c_W^2} \left( \frac{[a_L^{Z'}(e)]^2}{m_{Z'}^2} + \frac{[a_L^{Z''}(e)]^2}{m_{Z''}^2} \right) < \frac{1}{(6 \text{ TeV})^2}.$$
 (49)

Again, the masses of Z', Z'' are bounded in the TeV range,  $m_{Z'} > 6 \times \frac{g}{c_w} a_L^{Z'}(e)$  TeV and  $m_{Z''} > 6 \times \frac{g}{c_w} a_L^{Z''}(e)$  TeV, assuming that  $a_L^{Z',Z''}(e)$  are in unity order. To be concrete, consider that Z'' is superheavy, i.e.,  $w \ll \Lambda$ . With the aid of  $\xi \simeq 0$  and  $m_{Z'}^2 \simeq g^2 w^2 / [3(1 - \beta^2 t_W^2)]$ , we get

$$w > 3 \times (1 + \sqrt{3\beta t_W^2}) \text{ TeV.}$$
(50)

Noting that  $\beta = -(1 + 2q)/\sqrt{3}$ , the bound for w is 5.7, 3.9, 2.1, and 0.3 TeV for q = -2, -1, 0, and 1, respectively. The last case means that the Z', Z'' contributions are negligible, since w is in several TeV due to the other constraints aforementioned.

#### **IV. UNITARITY**

To investigate the unitarity of this model as well as that of the previous proposals, it is enough to consider a UNIFYING THE ELECTROWEAK AND B - L INTERACTIONS

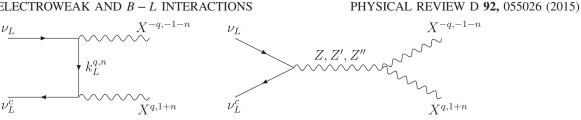


FIG. 2. Tree-level diagrams for  $\nu_L^r \nu_L \to X^{\dagger} X$ , where the (Q, B - L) charges for X and k are explicitly displayed. We see that Z<sup>n</sup> contributes, since it interacts with B - L charged particles such as  $\nu_L$  and X. The remaining channels are identical to those in the 3-3-1 models.

high-energy scattering process of the standard model neutrinos  $(\nu_L)$  to the new gauge bosons (X):  $\nu_L^c(p_1)\nu_L(p_2) \to X^{\dagger}(k_1)X(k_2)$ . The tree-level contributions to the process are given in Fig. 2. The relevant interactions are obtained in Table II.

The amplitude of the  $k_L$  exchange channel is computed as

$$iM(k_L) = \bar{v}(p_1) \left( -\frac{ig}{\sqrt{2}} \gamma^{\mu} P_L \right) \frac{i}{\not p_2 - k_2 - m_k} \\ \times \left( -\frac{ig}{\sqrt{2}} \gamma^{\nu} P_L \right) u(p_2) \epsilon^*_{\mu}(k_1) \epsilon^*_{\nu}(k_2) \\ \simeq \frac{ig^2}{2m_X^2} \bar{v}(p_1) k_1 P_L u(p_2).$$
(51)

Here, we have approximated  $m_{\nu}, m_k \ll m_X$ , and due to the high-energy scattering, the longitudinal polarization components of the gauge bosons dominate, for which  $\epsilon_u(k_1) \simeq$  $\frac{k_{1\mu}}{m_X}$  and  $\epsilon_{\nu}(k_2) \simeq \frac{k_{2\nu}}{m_X}$ . The amplitude  $M(k_L)$  is only one partial wave, but it is proportional to  $s = 4E^2$  at high energy. This violates the unitarity bound, since the amplitude must be smaller than a constant.

The amplitudes of the V = Z, Z', Z'' exchange channels are obtained by

TABLE II. Relevant interactions for the  $\nu_L^c \nu_L \to X^{\dagger} X$ process. Here,  $P_L = \frac{1}{2}(1 - \gamma^5)$  and  $L^{\mu\nu\alpha} = g^{\mu\nu}(q_1 - q_2)^{\alpha} +$  $g^{\nu\alpha}(q_2-q_3)^{\mu}+g^{\alpha\mu}(q_3-q_1)^{\nu}$ , provided that all the momenta of  $V_{\mu}(q_1)$ ,  $X_{\nu}^{\dagger}(q_2)$ ,  $X_{\alpha}(q_3)$  gauge bosons go into the vertex (otherwise, the signs of outgoing momenta are reversed).

| Vertex                              | Coupling  |
|-------------------------------------|---|
| $\overline{\nu}kX_{\mu}$            | $-\frac{ig}{\sqrt{2}}\gamma^{\mu}P_L$   |
| $\bar{\nu}\nu Z_{\mu}$              | $-rac{ig}{2c_w}\gamma^{\mu}P_L$  |
| $ar{ u} u Z'_{\mu}$                 | $-ig[\frac{1+\sqrt{3}\beta t_{W}^{2}}{2\sqrt{3}\sqrt{1-\beta^{2}t_{W}^{2}}}c_{\xi}+(1+\frac{\beta'}{2\sqrt{3}})t_{N}s_{\xi}]\gamma^{\mu}P_{L}$        |
| $ar{ u} u Z_{\mu}^{\prime\prime}$   | $-ig[\frac{1+\sqrt{3}\rho t_{W}^{2}}{2\sqrt{3}\sqrt{1-\beta^{2}}t_{W}^{2}}s_{\xi}-(1+\frac{\beta^{\prime}}{2\sqrt{3}})t_{N}c_{\xi}]\gamma^{\mu}P_{L}$ |
| $Z_{\mu}X_{ u}^{\dagger}X_{lpha}$   | $-\frac{ig}{2}(\sqrt{3}\beta s_W t_W - c_W)L^{\mu\nu\alpha}$  |
| $Z'_{\mu}X^{\dagger}_{ u}X_{lpha}$  | $\frac{ig}{2}\sqrt{3(1-\beta^2 t_W^2)}c_{\xi}L^{\mu\nu\alpha}$  |
| $Z''_{\mu}X^{\dagger}_{ u}X_{lpha}$ | $\frac{ig}{2}\sqrt{3(1-eta^2 t_W^2)}s_{\xi}L^{\mu ulpha}$   |

$$\begin{split} iM(V) &= \bar{v}(p_1)(-if_V\gamma^{\alpha}P_L)u(p_2) \\ &\times \frac{-i[g_{\alpha\lambda} - (k_1 + k_2)_{\alpha}(k_1 + k_2)_{\lambda}/m_V^2]}{(k_1 + k_2)^2 - m_V^2} \\ &\times (-ig_V L^{\lambda\mu\nu})\epsilon_{\mu}^*(k_1)\epsilon_{\nu}^*(k_2), \end{split}$$

where  $f_V$ ,  $g_V$  stand for the coupling coefficients of V to  $\bar{\nu}\nu$  and  $X^{\dagger}X$ , respectively, which should be understood, and identified from Table II. We approximate  $L^{\lambda\mu\nu}\epsilon^*_{\mu}(k_1)\epsilon^*_{\nu}(k_2) \simeq (k_1 - k_2)^{\lambda}k_1k_2/m_X^2$ , as *s* is large. Hence, the amplitudes become

$$iM(V) \simeq \frac{if_V g_V}{m_X^2} \bar{v}(p_1) k_1 P_L u(p_2).$$
 (52)

For each V, it also goes as s, which violates the unitarity. Summing all the contributions, we have

$$iM = iM(k_L) + iM(Z) + iM(Z') + iM(Z'') \sim \frac{1}{2}g^2 + f_Z g_Z + f_{Z'} g_{Z'} + f_{Z''} g_{Z''}$$
  
= 0. (53)

which exactly cancel out at high energy. The unitarity condition is satisfied by the 3-3-1-1 model. It is noteworthy that if the Z'' contribution is neglected, the unitarity is spoiled. Therefore, the 3-3-1 contributions by themselves violate the unitarity. The way for the 3-3-1 models to avoid this constraint is if  $\xi = 0$  or the B - L breaking scale goes to infinity,  $\Lambda = \infty$ ; otherwise, it should include B - L as a gauge symmetry.

Note that a 3-3-1 model that regards B - L as an approximate symmetry is only an effective theory because all B - L violating interactions, which mostly include higher-dimensional ones, can enter as perturbations. Such a theory loses predictive possibility, and the unitarity is obviously violated at a high-energy scale.

# V. REMARKS ON COSMOLOGICAL INFLATION AND BARYON ASYMMETRY

If the energy scale of  $U(1)_N$  symmetry breaking happens at a very high scale like the grand unification one, the inflationary scenario which solves the difficulties of the hot big bang theory as well as quantum fluctuations in the inflating background can be obtained in this framework as linked (identical) to  $U(1)_N$  breaking dynamics and driven by the  $\phi$  potential. The  $\phi$  field is inflaton. Because  $U(1)_N$  is a local gauge symmetry, the radiative corrections to the inflaton potential include the interaction of inflaton with the  $U(1)_N$  gauge boson (Z'') as well as the interactions of inflaton with right-handed neutrinos  $(\nu_{aR})$  and scalar triplets  $(\eta, \rho, \chi)$ . Such interacting couplings are independent of the details of  $\beta$ . Furthermore, due to the W-parity conservation, we can show that the physical scalar fields and their corresponding masses do not change when  $\beta$ varies. That is, that the inflaton effective potential and its consequences as given in the third article of Ref. [4] generally apply for any  $\beta$ . Namely, the inflaton mass is of  $10^{13}$  GeV order. The reheating temperature is either of 10<sup>9</sup> GeV order if it dominantly decays into a pair of light Higgs bosons or a lower value if it dominantly decays into a pair of right-handed neutrinos.

The baryon-number asymmetry of the Universe can be obtained via lepogenesis processes due to the decays of  $\nu_{aR}$ . Again, the  $U(1)_N$  breaking dynamics is crucial to generate the Majorana masses of  $\nu_{aR}$  in order to find a viable leptogenesis process. The CP asymmetry decays of  $\nu_{aR}$  proceed via two possibilities: (i) to ordinary charged leptons and corresponding charged scalars, and (ii) to  $k_a$ and a scalar combination of  $\eta_3$  and  $\chi_1$ . The first case produces a baryon number as induced from the normal particle sector, whereas the second case generates a baryon number from the dark sector. If the right-handed neutrinos are produced as a result of the inflaton decays, we have the nonthermal leptogenesis processes. Otherwise, if the righthanded neutrinos are generated in the thermal bath of the Universe (i.e., the inflaton dominantly decays into a pair of light Higgs bosons), we have the thermal leptogenesis scenario. Although the general conclusions are similar to those of the third article of Ref. [4], the details of the baryon number produced also depend on  $\beta$  due to the contributions that come from interactions with the 3-3-1 gauge bosons.

#### **VI. CONCLUSION**

We have proved that the most economical gauge symmetry that supports the weak-isospin enlargement to  $SU(3)_L$ , regarding electric charge and B - L conservation, must be  $SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N$ . The fact is that the existence of  $U(1)_N$  respecting B - L symmetry is the same  $U(1)_X$  for electromagnetic symmetry. The unification of electroweak and B - L interactions is analogous to the Glashow-Weinberg-Salam criterion for weak and electromagnetic interactions. The theory predicts the fermion generation number and electric charge quantization, which all result from its consistent dynamics such as anomaly cancellation, QCD asymptotic freedom, and mass generation. Note that the electric charge quantization in the

3-3-1 models is only valid for those corresponding to the minimal versions as discussed.

The most general fermion content has been introduced, which is independent of all the anomalies. The righthanded neutrinos  $\nu_{aR}$  exist to cancel B - L anomalies. The new fermions  $k_a$ ,  $j_a$  have general electric and B - Lcharges and are related. The electric charge of  $k_a$  is constrained by -2.08011 < q < 1.08011 (if integer charges are assumed, it is  $-2 \le q \le 1$ ), while its B - L, n, is arbitrary, which has been assumed differently from ordinary ones  $[n \neq (2m-1)/3$  for any integer m]. This suppresses the minimal versions. The third quark generation has been arranged differently from the first two under  $SU(3)_L$ . This leads to bounds for the new physics scales  $w, \Lambda$  in the TeV range. Such a different arrangement for the first or second generation instead is possible, but the new physics scales get a much higher bound, proportional to  $10^3$  TeV (see, for a reference, Ref. [14]).

The scalar sector has three triplets and one singlet, appropriately for the symmetry breaking and mass generation. A new W-parity (actually larger than  $Z_2$ ) is recognized as a residual gauge symmetry. The wrong particles transform nontrivially under W-parity and are only coupled in pairs  $(P^+, P^-)$  in interactions. The normal particles, most of which are the standard model particles, are W-parity even. There are two dark matter models corresponding to q = 0 and q = -1. The previous analysis only realizes the former with n = 0 [4]. It is able to show that the neutral non-Hermitian gauge boson (either X or Y) cannot be dark matter, since it completely annihilates before freeze-out. However, the fermion and scalar candidates are realistic, since they can provide the right abundance and relax search bounds. We have also shown that all the fermions and gauge bosons get consistent masses. The seesaw mechanisms responsible for small neutrino masses are naturally realized. The singlet scalar that breaks B - Lis crucial to determine the residual gauge symmetry, seesaw scales, charge quantization condition, and cosmological inflation [4].

There is a finite mixing between the new neutral gauge bosons Z' and C. Unlike the new non-Hermitian gauge bosons X, Y, the physical new neutral gauge bosons Z', Z''can interact with the ordinary fermions. The tree-level FCNCs due to Z' and Z'' are bounded, yielding their masses in the TeV range. The LEPII searches for Z' and Z''present similar bounds. Since the model does not induce proton decay despite the B - L conservation, the B - Lbreaking scale can be low such as the bounds obtained. There is no dangerous FCNC due to ordinary and exotic quark mixing since it is suppressed by *W*-parity. The unitarity of the model is verified. There are two folds for the 3-3-1 models: either they are this 3-3-1-1 model with  $\Lambda = \infty$ , or they work as an effective theory at low energy respecting approximate B - L symmetry.

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#### **APPENDIX A: CURRENT ALGEBRA APPROACH**

The covariant derivative for  $SU(3)_L$  is given by  $D_\mu = \partial_\mu + igT_iA_{i\mu}$ , where  $T_i, A_i$ , and g are the generators, gauge bosons, and coupling constant, respectively. Let us work in the weak basis, which consists of the weight-raising and lowering operators, as well as the Cartan operators,

$$T_{\pm} \equiv \frac{T_1 \pm iT_2}{\sqrt{2}}, \qquad U_{\pm} \equiv \frac{T_4 \pm iT_5}{\sqrt{2}}, \qquad V_{\pm} \equiv \frac{T_6 \pm iT_7}{\sqrt{2}}, \qquad T_3, \qquad T_8.$$
(A1)

The corresponding gauge bosons are defined by

$$W^{\pm,0} \equiv \frac{A_1 \mp iA_2}{\sqrt{2}}, \qquad X^{\pm q, \pm (1+n)} \equiv \frac{A_4 \mp iA_5}{\sqrt{2}}, \qquad Y^{\pm (1+q), \pm (1+n)} \equiv \frac{A_6 \mp iA_7}{\sqrt{2}}, \qquad A_3, \qquad A_8, \qquad (A2)$$

so that

$$D_{\mu} = \partial_{\mu} + ig[(T_{+}W_{\mu}^{+,0} + U_{+}X_{\mu}^{-q,-1-n} + V_{+}Y_{\mu}^{-1-q,-1-n} + \text{H.c.}) + T_{3}A_{3\mu} + T_{8}A_{8\mu}].$$
(A3)

Above, the values superscripted to W, X, Y denote Q and B - L charges, respectively, whereas  $A_{3,8}$  do not carry these charges. All these are obviously shown below.

The gauge interactions of fermions arise from

$$\mathcal{L} = \bar{F}i\gamma^{\mu}D_{\mu}F \supset (-g\bar{F}_{L}\gamma^{\mu}T_{+}F_{L}W_{\mu}^{+,0} - g\bar{F}_{L}\gamma^{\mu}U_{+}F_{L}X_{\mu}^{-q,-1-n} - g\bar{F}_{L}\gamma^{\mu}V_{+}F_{L}Y_{\mu}^{-1-q,-1-n} + \text{H.c.}) - g\bar{F}_{L}\gamma^{\mu}T_{3}F_{L}A_{3\mu} - g\bar{F}_{L}\gamma^{\mu}T_{8}F_{L}A_{8\mu},$$
(A4)

where F runs over all fermion multiplets of the model, and note that the generators vanish for  $F_R$ . Thus, the currents of  $SU(3)_L$ , which appear in the Lagrangian as  $-gJ^{\mu}_A A_{\mu}$ , can be read off,

$$J_{W}^{\mu} = \bar{F}_{L} \gamma^{\mu} T_{+} F_{L}, \qquad J_{X}^{\mu} = \bar{F}_{L} \gamma^{\mu} U_{+} F_{L}, \qquad J_{Y}^{\mu} = \bar{F}_{L} \gamma^{\mu} V_{+} F_{L}, \qquad J_{3}^{\mu} = \bar{F}_{L} \gamma^{\mu} T_{3} F_{L}, \qquad J_{8}^{\mu} = \bar{F}_{L} \gamma^{\mu} T_{8} F_{L}.$$
(A5)

This leads to the corresponding weak charges,

$$\begin{split} T_{+}(t) &\equiv \int d^{3}x J_{W}^{0} = \frac{1}{\sqrt{2}} \int d^{3}x (\nu_{aL}^{\dagger} e_{aL} + u_{aL}^{\dagger} d_{aL}), \\ U_{+}(t) &\equiv \int d^{3}x J_{X}^{0} = \frac{1}{\sqrt{2}} \int d^{3}x (\nu_{aL}^{\dagger} k_{aL} + u_{3L}^{\dagger} j_{3L} - j_{aL}^{\dagger} d_{aL}), \\ V_{+}(t) &\equiv \int d^{3}x J_{Y}^{0} = \frac{1}{\sqrt{2}} \int d^{3}x (e_{aL}^{\dagger} k_{aL} + d_{3L}^{\dagger} j_{3L} + j_{aL}^{\dagger} u_{aL}), \\ T_{3}(t) &\equiv \int d^{3}x J_{3}^{0} = \frac{1}{2} \int d^{3}x (\nu_{aL}^{\dagger} \nu_{aL} + u_{aL}^{\dagger} u_{aL} - e_{aL}^{\dagger} e_{aL} - d_{aL}^{\dagger} d_{aL}), \\ T_{8}(t) &\equiv \int d^{3}x J_{8}^{0} = \frac{1}{2\sqrt{3}} \int d^{3}x (\nu_{aL}^{\dagger} \nu_{aL} + e_{aL}^{\dagger} e_{aL} - 2k_{aL}^{\dagger} k_{aL} + u_{3L}^{\dagger} u_{3L} \\ &+ d_{3L}^{\dagger} d_{3L} - 2j_{3L}^{\dagger} j_{3L} - u_{aL}^{\dagger} u_{aL} - d_{aL}^{\dagger} d_{aL} + 2j_{aL}^{\dagger} j_{aL}), \end{split}$$
(A6)

and  $T_{-}(t) = [T_{+}(t)]^{\dagger}$ ,  $U_{-}(t) = [U_{+}(t)]^{\dagger}$ ,  $V_{-}(t) = [V_{+}(t)]^{\dagger}$ . Here, the charges (Q, B - L) for the new particles such as  $X, Y, j_{a}, j_{3}$  can be understood, provided that those charges for  $k_{a}$  are (q, n), as well as that they are conserved.

Using the canonical anticommutation relations for fermions,  $\{f(x, t), f^{\dagger}(y, t)\} = \delta^{(3)}(x - y)$ , we can check that the weak charges exactly satisfy the algebra of  $SU(3)_L$  as usual. Particularly, the important commutation relations are

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$$\begin{split} &[T_{+}(t), T_{-}(t)] = T_{3}(t), \\ &[U_{+}(t), U_{-}(t)] = \frac{1}{2}(T_{3}(t) + \sqrt{3}T_{8}(t)), \\ &[V_{+}(t), V_{-}(t)] = \frac{1}{2}(-T_{3}(t) + \sqrt{3}T_{8}(t)). \end{split} \tag{A7}$$

The Q(t) and [B - L](t) charges have the form

$$Q(t) = \int d^3x J_{em}^0 = \int d^3x F^{\dagger}QF$$
  
=  $\int d^3x [-e_{aL}^{\dagger}e_{aL} + qk_{aL}^{\dagger}k_{aL} + (2/3)u_{aL}^{\dagger}u_{aL} - (1/3)d_{aL}^{\dagger}d_{aL}$   
+ $(2/3 + q)j_{3L}^{\dagger}j_{3L} + (-1/3 - q)j_{aL}^{\dagger}j_{aL} + (LL \to RR)],$  (A8)

$$[B-L](t) = \int d^3x J_{bl}^0 = \int d^3x F^{\dagger}[B-L]F$$
  
=  $\int d^3x [-\nu_{aL}^{\dagger}\nu_{aL} - e_{aL}^{\dagger}e_{aL} + nk_{aL}^{\dagger}k_{aL} + (1/3)u_{aL}^{\dagger}u_{aL} + (1/3)d_{aL}^{\dagger}d_{aL}$   
+ $(4/3+n)j_{3L}^{\dagger}j_{3L} + (-2/3-n)j_{aL}^{\dagger}j_{aL} + (LL \to RR)].$  (A9)

We see that Q(t) and [B-L](t) cannot, respectively, be any combination of  $T_3(t)$  and  $T_8(t)$ , because Q(t) and [B-L](t)have the right currents as well (indeed, they are vectorlike). Therefore, the  $SU(3)_L$  charges, Q, and B - L, do not form a closed algebra. Moreover, we derive

$$[Q(t), T_{\pm}(t)] = \pm T_{\pm}, \qquad [Q(t), U_{\pm}(t)] = \mp q U_{\pm}(t), \qquad [Q(t), V_{\pm}(t)] = \mp (1+q) V_{\pm}(t), \tag{A10}$$

$$[[B-L](t), U_{\pm}(t)] = \mp (1+n)U_{\pm}(t), \qquad [[B-L](t), V_{\pm}(t)] = \mp (1+n)V_{\pm}(t), \tag{A11}$$

which imply that the  $SU(3)_{L}$  generators, Q, and B - L, do not commute.

Putting  $\beta = -(1+2q)/\sqrt{3}$ , we deduce

$$Q(t) - T_{3}(t) - \beta T_{8}(t) = \int d^{3}x \left[ \frac{-1+q}{3} \psi_{aL}^{\dagger} \psi_{aL} + \frac{1+q}{3} Q_{3L}^{\dagger} Q_{3L} - \frac{q}{3} Q_{aL}^{\dagger} Q_{aL} - e_{aR}^{\dagger} e_{aR} + q k_{aR}^{\dagger} k_{aR} + \frac{2}{3} u_{aR}^{\dagger} u_{aR} - \frac{1}{3} d_{aR}^{\dagger} d_{aR} + \left(\frac{2}{3} + q\right) j_{3R}^{\dagger} j_{3R} + \left(-\frac{1}{3} - q\right) j_{aR}^{\dagger} j_{aR} \right] \equiv \int d^{3}x F^{\dagger} X F, \quad (A12)$$

which defines a new Abelian charge, X, with the values for the multiplets, coinciding with those in (8). Surely, it is easy to check that the new charge X(t) commutes with all the  $SU(3)_L$  charges. Setting  $\beta' = -2(1+n)/\sqrt{3}$ , we obtain

$$[B-L](t) - \beta' T_8(t) = \int d^3x \left[ \frac{-2+n}{3} \psi^{\dagger}_{aL} \psi_{aL} + \frac{2+n}{3} Q^{\dagger}_{3L} Q_{3L} - \frac{n}{3} Q^{\dagger}_{aL} Q_{aL} - \nu^{\dagger}_{aR} \nu_{aR} - e^{\dagger}_{aR} e_{aR} + nk^{\dagger}_{aR} k_{aR} + \frac{1}{3} u^{\dagger}_{aR} u_{aR} + \frac{1}{3} d^{\dagger}_{aR} d_{aR} + \left(\frac{4}{3} + n\right) j^{\dagger}_{3R} j_{3R} + \left(-\frac{2}{3} - n\right) j^{\dagger}_{aR} j_{aR} \right] \equiv \int d^3x F^{\dagger} NF,$$
(A13)

which yields another Abelian charge, N, with the values in agreement with (8). Also, N(t) must commute with the  $SU(3)_L$ charges.

Again, we conclude that the manifest gauge symmetry must be

$$SU(3)_C \otimes SU(3)_L \otimes U(1)_X \otimes U(1)_N,$$
 (A14)

where X and N, respectively, define the electric-charge and B - L operators:

$$Q - T_3 - \beta T_8 = X, \qquad B - L - \beta' T_8 = N.$$
 (A15)

# APPENDIX B: ANOMALY CHECKING

First, note that  $N = B - L - \beta' T_8$  and  $X = Q - T_3 - \beta T_8$ . Furthermore, with the fermion content as given, the anomalies associated with  $T_i$ , Q, and B - L always vanish. Therefore, the X and N anomalies are also canceled. To see this explicitly, let us compute

$$[SU(3)_C]^2 U(1)_X \sim \sum_{\text{quarks}} (X_{q_L} - X_{q_R}) = 3X_{Q_3} + 2 \times 3X_{Q_a} - 3X_{u_a} - 3X_{d_a} - X_{j_3} - 2X_{j_a}$$
  
= 3(1+q)/3 + 6(-q/3) - 3(2/3) - 3(-1/3) - (2/3+q) - 2(-1/3-q) = 0, (B1)

$$[SU(3)_C]^2 U(1)_N \sim \sum_{\text{quarks}} (N_{q_L} - N_{q_R}) = 3N_{Q_3} + 2 \times 3N_{Q_a} - 3N_{u_a} - 3N_{d_a} - N_{j_3} - 2N_{j_a}$$
  
= 3(2 + n)/3 + 6(-n/3) - 3(1/3) - 3(1/3) - (4/3 + n) - 2(-2/3 - n) = 0, (B2)

$$[SU(3)_L]^2 U(1)_X \sim \sum_{\text{(anti)triplets}} X_{F_L} = 3X_{\psi_a} + 3X_{Q_3} + 2 \times 3X_{Q_a}$$
$$= 3(-1+q)/3 + 3(1+q)/3 + 6(-q/3) = 0, \tag{B3}$$

$$[SU(3)_L]^2 U(1)_N \sim \sum_{\text{(anti)triplets}} N_{F_L} = 3N_{\psi_a} + 3N_{Q_3} + 2 \times 3N_{Q_a}$$
$$= 3(-2+n)/3 + 3(2+n)/3 + 6(-n/3) = 0, \tag{B4}$$

$$[\text{Gravity}]^{2}U(1)_{X} \sim \sum_{\text{fermions}} (X_{f_{L}} - X_{f_{R}}) = 3 \times 3X_{\psi_{a}} + 3 \times 3X_{Q_{3}} + 2 \times 3 \times 3X_{Q_{a}}$$
  
$$- 3 \times 3X_{u_{a}} - 3 \times 3X_{d_{a}} - 3X_{j_{3}} - 2 \times 3X_{j_{a}} - 3X_{k_{a}} - 3X_{e_{a}} - 3X_{\nu_{a}}$$
  
$$= 3 \times 3(-1+q)/3 + 3 \times 3(1+q)/3 + 2 \times 3 \times 3(-q/3)$$
  
$$- 3 \times 3(2/3) - 3 \times 3(-1/3) - 3(2/3+q) - 2 \times 3(-1/3-q) - 3q - 3(-1) - 3(0) = 0, \quad (B5)$$

$$[\text{Gravity}]^{2}U(1)_{N} \sim \sum_{\text{fermions}} (N_{f_{L}} - N_{f_{R}}) = 3 \times 3N_{\psi_{a}} + 3 \times 3N_{Q_{3}} + 2 \times 3 \times 3N_{Q_{a}}$$
  
$$- 3 \times 3N_{u_{a}} - 3 \times 3N_{d_{a}} - 3N_{j_{3}} - 2 \times 3N_{j_{a}} - 3N_{k_{a}} - 3N_{e_{a}} - 3N_{\nu_{a}}$$
  
$$= 3 \times 3(-2+n)/3 + 3 \times 3(2+n)/3 + 2 \times 3 \times 3(-n/3)$$
  
$$- 3 \times 3(1/3) - 3 \times 3(1/3) - 3(4/3+n) - 2 \times 3(-2/3-n) - 3n - 3(-1) - 3(-1) = 0, \quad (B6)$$

$$\begin{split} [U(1)_X]^2 U(1)_N &= \sum_{\text{fermions}} (X_{f_L}^2 N_{f_L} - X_{f_R}^2 N_{f_R}) = 3 \times 3X_{\psi_a}^2 N_{\psi_a} + 3 \times 3X_{Q_3}^2 N_{Q_3} \\ &+ 2 \times 3 \times 3X_{Q_a}^2 N_{Q_a} - 3 \times 3X_{u_a}^2 N_{u_a} - 3 \times 3X_{d_a}^2 N_{d_a} - 3X_{j_3}^2 N_{j_3} \\ &- 2 \times 3X_{j_a}^2 N_{j_a} - 3X_{k_a}^2 N_{k_a} - 3X_{e_a}^2 N_{e_a} - 3X_{\nu_a}^2 N_{\nu_a} \\ &= 3 \times 3[(-1+q)/3]^2(-2+n)/3 + 3 \times 3[(1+q)/3]^2(2+n)/3 \\ &+ 2 \times 3 \times 3(-q/3)^2(-n/3) - 3 \times 3(2/3)^2(1/3) - 3 \times 3(-1/3)^2(1/3) \\ &- 3(2/3+q)^2(4/3+n) - 2 \times 3(-1/3-q)^2(-2/3-n) - 3q^2n \\ &- 3(-1)^2(-1) - 3(0)^2(-1) = 0, \end{split}$$
(B7)

$$U(1)_{X}[U(1)_{N}]^{2} = \sum_{\text{fermions}} (X_{f_{L}}N_{f_{L}}^{2} - X_{f_{R}}N_{f_{R}}^{2}) = 3 \times 3X_{\psi_{a}}N_{\psi_{a}}^{2} + 3 \times 3X_{Q_{3}}N_{Q_{3}}^{2} + 2 \times 3 \times 3X_{Q_{a}}N_{Q_{a}}^{2} - 3 \times 3X_{u_{a}}N_{u_{a}}^{2} - 3 \times 3X_{d_{a}}N_{d_{a}}^{2} - 3X_{j_{3}}N_{j_{3}}^{2} - 2 \times 3X_{j_{a}}N_{j_{a}}^{2} - 3X_{k_{a}}N_{k_{a}}^{2} - 3X_{e_{a}}N_{e_{a}}^{2} - 3X_{\nu_{a}}N_{\nu_{a}}^{2} = 3 \times 3[(-1+q)/3][(-2+n)/3]^{2} + 3 \times 3[(1+q)/3][(2+n)/3]^{2} + 2 \times 3 \times 3(-q/3)(-n/3)^{2} - 3 \times 3(2/3)(1/3)^{2} - 3 \times 3(-1/3)(1/3)^{2} - 3(2/3+q)(4/3+n)^{2} - 2 \times 3(-1/3-q)(-2/3-n)^{2} - 3qn^{2} - 3(-1)(-1)^{2} - 3(0)(-1)^{2} = 0,$$
(B8)

$$\begin{split} [U(1)_X]^3 &= \sum_{\text{fermions}} (X_{f_L}^3 - X_{f_R}^3) = 3 \times 3X_{\psi_a}^3 + 3 \times 3X_{Q_3}^3 + 2 \times 3 \times 3X_{Q_a}^3 \\ &\quad -3 \times 3X_{u_a}^3 - 3 \times 3X_{d_a}^3 - 3X_{j_3}^3 - 2 \times 3X_{j_a}^3 - 3X_{k_a}^3 - 3X_{e_a}^3 - 3X_{\nu_a}^3 \\ &= 3 \times 3[(-1+q)/3]^3 + 3 \times 3[(1+q)/3]^3 + 2 \times 3 \times 3(-q/3)^3 - 3 \times 3(2/3)^3 - 3 \times 3(-1/3)^3 - 3(2/3+q)^3 \\ &\quad -2 \times 3(-1/3-q)^3 - 3q^3 - 3(-1)^3 - 3(-0)^3 = 0, \end{split}$$
(B9)

$$\begin{split} [U(1)_N]^3 &= \sum_{\text{fermions}} (N_{f_L}^3 - N_{f_R}^3) = 3 \times 3N_{\psi_a}^3 + 3 \times 3N_{Q_3}^3 + 2 \times 3 \times 3N_{Q_a}^3 \\ &\quad -3 \times 3N_{u_a}^3 - 3 \times 3N_{d_a}^3 - 3N_{j_3}^3 - 2 \times 3N_{j_a}^3 - 3N_{k_a}^3 - 3N_{e_a}^3 - 3N_{\nu_a}^3 \\ &= 3 \times 3[(-2+n)/3]^3 + 3 \times 3[(2+n)/3]^3 + 2 \times 3 \times 3(-n/3)^3 - 3 \times 3(1/3)^3 - 3(4/3+n)^3 \\ &\quad -2 \times 3(-2/3-n)^3 - 3n^3 - 3(-1)^3 - 3(-1)^3 = 0. \end{split}$$
(B10)

It is interesting that the anomalies are always canceled, independent of q and n, the corresponding Q and B - L charges of the new particles  $k_a$ .

We see that although the *B*, *L* symmetries are separately anomalous, taking B - L into account makes the 3-3-1-1 model free from all the leptonic and baryonic anomalies [4].

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